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A formal abstract framework for modeling and testing complex software systems

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Abstract

The contribution of this paper is twofold: first, it defines a unified framework for modeling abstract components, as well as a formalization of integration rules to combine their behaviour. This is based on a coalgebraic definition of components, which is a categorical representation allowing the unification of a large family of formalisms for specifying state-based systems. Second, it studies compositional conformance testing i.e. checking whether an implementation made of correct interacting components combined with integration operators conforms to its specification.

Keywords: Component based system, Integration operators, Trace semantics, Transfer function, Compositional testing, Conformance testing, Coalgebra, Monad.

Introduction

A powerful approach to developing complex\(^1\) software systems is to describe them in a recursive way as interconnections of subsystems. These subsystems are then integrated through integration operators (so-called architectural connectors) that are powerful tools to describe systems in terms of components and their interactions. Each subsystem can be then either a complex system itself or simple and elementary enough to be handled entirely. However, we observe that components may be designed using different formalisms, most of them being state-based ones. To deal with these heterogeneous components from a global point view, we propose in this paper to model them as concrete coalgebras for an endofunctor on the category of sets following Barbosa’s component definition \([3, 4]\). The interest of such models is twofold: first, defining a component as a coalgebra over the endofunctor \(H = T(\text{Out} \times \text{In})\)\(^2\) where \(T\) is a monad\(^2\), and \(\text{In}\) and \(\text{Out}\) are two sets of elements which denote respectively inputs and outputs of the component, will allow us to abstract away computation situations such as determinism or non-determinism. Indeed, monads have been introduced in \([5]\) to consider in a generic way a wide range of computation structures such as partiality, non-determinism, etc. Hence, Barbosa’s definition of components allows us to define components independently of any

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\(^{1}\)This work is a revised and extended version of \([1]\). This extension consists in systematically adding proofs of each theorem and proposition given in this paper as well as adding examples to illustrate all the notions introduced in this paper. Moreover, new results about compositional testing are introduced.

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\(^{2}\)Complex systems are commonly characterized by a holistic behaviour. That means their behaviours cannot be resulted from the combination of isolated behaviours of some of their components, but have to be obtained as whole. This holistic behaviour is classically expressed by the emergence of global properties which are very difficult, even sometimes impossible, to be anticipated just from a complete knowledge of component behaviours \([2]\). In this paper, the term complex is contrarily used to express component-based systems. We only address here the complexity in terms of heterogeneity of state-based formalisms. Hence, we intend to say by complex systems, the systems described in a recursive way as a set of state-based components, organized and integrated together using integration operators.

\(^2\)All the definitions and notations of coalgebras and monads are recalled in Section 1 of this paper.
computation structure. Moreover, this definition will allow us to unify in a same framework a large family of state-based formalisms such as Mealy automata [6, 7], Labeled Transition Systems [8], Input-Output Symbolic Transition Systems [9, 10, 11], etc. Second, following Rutten’s works [12], defining component behaviours as extensions of Mealy automata to any computation structure by using monads, will allow us to define a trace model over components by causal transfer functions, that is functions of the form: \( y = F(x, q, t) \) where \( x, y \) and \( q \) are respectively the input, output and state of the component under consideration, and \( t \) is discrete time.

Hence, by extending Rutten’s results in [12] on the existence of a final coalgebra in the category of coalgebras over \( H = T(\text{Out} \times \mathcal{I})^n \), we will show how to define causal functions which underly any system, or equivalently, that the system under consideration implements. This constitutes our first contribution in this paper. Another contribution in this paper is the definition of two basic integration operators, product and feedback, which are used to build larger systems by composition of subsystems. Indeed, we defend the idea that most standard integration operators can be obtained by composition of product and feedback, and we will show this for them. This will lead us to define inductively more complex integration operators, the semantics of which will be partial functors over categories of components. Hence, a system will be built by a recursive hierarchical process through these integration operators from elementary systems or basic components.

Finally, as a last contribution in this paper, we propose to define a conformance testing theory for components. From the generality of the formalism developed in this paper, the testing theory developed here will be de facto applicable to all state-based formalisms, instances of our framework such as these presented in Section 2.2. There are several conformance testing theory in the literature [9, 10, 13, 14, 15, 16] that differ by the considered conformance relation and algorithms used to generate test cases. Although most of these theories could be adapted to our formalism, we propose here to extend the approach defined in [10] in the context of IOSTS formalism. The advantage of the testing theory proposed in [10] is that it is based on the conformance relation \( \text{ioco} \) that received much attention by the community of formal testing because it has shown its suitability for conformance testing and automatic test derivation. Furthermore, test generation algorithms proposed in [10] are simple in their implementation and efficient in their execution. Hence, test purposes will be defined as some particular subtrees of the execution tree built from our trace model for components. We will then define an algorithm which will generate test cases from test purposes. As in [10], this algorithm will be given by a set of inference rules. Each rule is dedicated to handle an observation from the system under test (\( \text{SUT} \)) or a stimulation sent by the test case to the \( \text{SUT} \). This testing process leads to a verdict.

This conformance testing theory is a step toward the testing of complex software systems made of interacting components. In the present paper, we further propose to define a compositional testing theory that aims to check whether the correctness of a whole system \( \text{C} = \text{op}(C_1, \ldots, C_n) \) is established using the correctness of each component \( C_i \), where \( \text{op} \) is any integration operator. Hence, the problem of compositional testing can be seen as follows: given implementation models \( I_1, \ldots, I_n \), their specifications \( C_1, \ldots, C_n \), an integration operator \( \text{op} \) and a conformance relation \( \text{rel} \) such as for every \( i, 1 \leq i \leq n, I_i \) has been tested to be \( \text{rel}-\text{correct} \) according to its specification \( C_i \), can we conclude that their composition \( \text{op}(I_1, \ldots, I_n) \) is also \( \text{rel}-\text{correct} \) with respect to the integrated specification \( \text{op}(C_1, \ldots, C_n) \)? A positive answer to this question cannot be obtained without any assumption on both specifications and implementations. We will show in this paper that under some conditions, the conformance relation is preserved along any integration operator. These last results extend Tretman’s results exposed in [17] to our framework.

The paper is structured as follows: Section 1 recalls the basic notions of coalgebras and monads that will be useful in this paper. Section 2 recalls Barbosa’s definition of components and defines a trace model from causal transfer functions over it. The formalization of components as coalgebras allows us to extend some standard results connected to the definition of a final component in Section 3. Section 4 presents the basic integration operators: cartesian product and both relaxed and synchronous feedback as well as how to combine them to build more complex integration operators. In Section 5, we define the notion of system that will be the result of the composition of basic components using complex integration operators. Section 6 presents our generic conformance testing theory for components. Section 7 gives the algorithm for generating test cases as a set of inference rules. Section 8 studies the preservation of the conformance relation by the proposed basic integration operators.

1. Preliminaries

This paper relies on many terms and notations from the categorical theory of coalgebras and monads. The notions introduced here make use of basic notions of category theory (category, functors, natural transformations, etc.) We
do not present these notions in this preliminaries, but interested readers may refer to textbooks such as [18, 19, 20]. Similarly, readers wanting to go into details in coalgebras and monads may refer to [20, 21]

1.1. Algebras and coalgebras

Given an endofunctor $F : C \to C$ on a category $C$, an $F$-algebra is defined by a carrier object $X \in C$ and a mapping $\alpha : F(X) \to X$. In this categorical definition, $F$ gives the signature of the algebra. For instance, with $1$ denoting class of isomorphism of the singleton set $\{1\}$, by considering the functor $F = 1 + -$ which maps $X \mapsto 1 + X$, the $F$-algebra $(\mathbb{N}, [0, \text{succ}])$ is Peano’s algebra of natural numbers, with the usual constant $0 : 1 \to \mathbb{N}$ and constructor $\text{succ} : \mathbb{N} \to \mathbb{N}$.

Similarly, an $F$-coalgebra is defined by a carrier object $X \in C$ and a mapping $\alpha : X \to F(X)$. In the common case where $C$ is $\text{Set}$, the category of sets, the signature functor of an algebra describes operations for building elements of the carrier object. On the contrary, in a coalgebra, the signature functor describes operations for observing elements of the carrier object. For instance, a Mealy machine with state set $S$ and input and output alphabets $\text{In}$ and $\text{Out}$, can be described as an $F$-coalgebra $(S, (\text{out}, \text{next}))$ of the functor $F = (\text{Out} \times _{-} )^{\mathbb{N}}$. The $\text{out} : S \to \text{Out}^{\mathbb{N}}$ operation is the curried form of the output function $\text{out} : S \times \text{In} \to \text{Out}$ which associates an output to an input when the machine is in a given state. Similarly $\text{next}$ is the curried form of the transition function $\text{next} : S \times \text{In} \to S$ which associates a new state to an input when the machine is in a given state.

An homomorphism of (co)algebras is a morphism from the carrier object of a (co)algebra to the carrier object of another (co)algebra which preserves the structure of (co)algebras. On the following commutative diagrams, $f$ is an homomorphism of algebras and $g$ is an homomorphism of coalgebras:

\[
\begin{array}{ccc}
F(X) & \xrightarrow{F(f)} & F(Y) \\
\downarrow \delta & & \downarrow \gamma \\
X & \xrightarrow{f} & Y
\end{array} \quad \begin{array}{ccc}
Z & \xrightarrow{g} & U \\
\downarrow \alpha & & \downarrow \beta \\
F(Z) & \xleftarrow{F(g)} & F(U)
\end{array}
\]

$F$-algebras and homomorphisms of algebras constitute a category $\text{Alg}(F)$. Similarly, $F$-coalgebras and homomorphisms of coalgebras constitute a category $\text{CoAlg}(F)$. If an initial algebra $(\Omega, \delta)$ exists in $\text{Alg}(F)$, it is unique, and its structure map is an isomorphism. The uniqueness of the homomorphism from an initial object to the other objects of a category is the key for defining morphisms by induction: giving an $F$-algebra $(X, \gamma)$ defines in a unique way the homomorphism $! : \Omega \to X$ from the initial $F$-algebra $(\Omega, \delta)$ to this algebra.

Conversely, if a final coalgebra $(\Gamma, \pi)$ exists in $\text{CoAlg}(F)$, it is unique, and its structure map is an isomorphism. The uniqueness of the homomorphism from any object to a final object of a category is the key for defining morphisms by coinduction: giving an $F$-coalgebra $(Y, \alpha)$ defines in a unique way the morphism $! : Y \to \Gamma$ from this coalgebra to the final $F$-coalgebra $(\Gamma, \pi)$.

An interesting property is that if $F$ is a finite Kripke polynomial functor, $\text{Alg}(F)$ has an initial algebra and $\text{CoAlg}(F)$ has a final coalgebra [22]. Finite Kripke polynomial functors are endofunctors of the category $\text{Set}$ which include the identity functor, the constant functors, and are closed by product, coproduct, exponent (or function space), and finite powerset.

1.2. Monads

Monads are a powerful abstraction for adding structure to objects and arrows. Given a category $C$, a monad consists of an endofunctor $T : C \to C$ equipped with two natural transformations $\eta : \text{id}_C \Rightarrow T$ and $\mu : T^2 \Rightarrow T$ which
satisfy the conditions \( \mu \circ T \eta = \mu \circ \eta T = \text{id}_C \) and \( \mu \circ T \mu = \mu \circ \mu T \):

\[
\begin{array}{ccc}
T^2 & \xrightarrow{T \eta} & T \\
\mu & \downarrow \text{id}_C & \downarrow \mu \\
T & \xrightarrow{\eta T} & T^2
\end{array}
\]

\[
\begin{array}{ccc}
T^2 & \xrightarrow{T \mu} & T \\
\mu & \downarrow \mu \\
T & \xrightarrow{T^3} & T^2
\end{array}
\]

\( \eta \) is called the \textit{unit} of the monad. Its components map objects in \( C \) to their structured counterpart. \( \mu \) is the \textit{product} of the monad. Its components map objects with two levels of structure to objects with only one level of structure. The first condition states that a doubly structured object \( \eta_{T(X)}(t) \) built by \( \eta \) from a structured object \( t \) is flattened by \( \mu \) as a structured object \( T(\eta_X)(X) \) made of the structured objects built by \( \eta \). The second condition states that when flattening two levels of structure, we get the same result by flattening the outer structure first (with \( T(\mu_X) \)) or the inner structure first (with \( T(\mu_X) \)).

As an example, let us consider a monad built on the powerset functor \( \mathcal{P} : \text{Set} \to \text{Set} \). It can be used to model non-deterministic state machines by replacing the target state of a transition by a set of possible target states. The component \( \eta_S : s \mapsto \{s\} \). The component \( \mu_S : \mathcal{P}(\mathcal{P}(S)) \to \mathcal{P}(S) \) of the product of the monad for \( S \) has to flatten a set of state sets into a set of states. For a series of sets of states \( (s_i) \), \( \forall i, s_i \in \mathcal{P}(S) \), we can choose \( \mu_S : \{s_1, \ldots, s_n\} \mapsto \cup s_i \). It is easy to check that \( \eta \) and \( \mu \) are natural transformations, such as for any morphism \( f : X \to Y, \mathcal{P}(f) : \mathcal{P}(X) \to \mathcal{P}(Y) \) is the morphism which maps each part of \( X \) to its image by \( f \).

In computer science, monads have mainly been used to present many computation situations such as partiality, side-effects, exceptions, etc. For instance, partiality can be represented by the monad \( T : \text{id} + 1 \) over \( \text{Set} \) equipped with both obvious natural transformations \( \eta \) and \( \mu \), which for any set \( S \) are defined by:

\[
\eta_S : s \mapsto s \quad \text{and} \quad \mu_S : \frac{1}{s} \mapsto \frac{1}{s}
\]

Many other examples can be found in [5].

2. Transfer functions and components

2.1. Transfer function

In the following, we note \( \omega \) the least infinite ordinal, identified with the corresponding hereditarily transitive set.

**Definition 2.1 (Datalog).** A \textbf{datalog} over a set of values \( A \) is a mapping \( x : \omega \to A \). The set of all datalows over \( A \) is noted \( A^\omega \).

Transfer functions will be used to describe the observable behaviour of components. They can be seen as datalow transformers satisfying the causality condition as this is classically done in control theory and physics to modeling dynamic systems [23], that is the output data at index \( n \) only depends on input data at indexes \( 0, \ldots, n \).

**Definition 2.2 (Transfer function).** \textit{Let \text{In} and \text{Out} be two sets denoting, respectively, the input and output domains. A function } \mathcal{F} : \text{In}^\omega \longrightarrow \text{Out}^\omega \text{ is a transfer function if, and only if it is causal, that is:}

\[
\forall n \in \omega, \forall x, y \in \text{In}^\omega, (\forall m, 0 \leq m \leq n, x(m) = y(m) \implies \mathcal{F}(x)(n) = \mathcal{F}(y)(n))
\]

**Example 2.1.** The function \( \mathcal{F} : [0, 1]^\omega \longrightarrow [0, 1]^\omega \) defined for every \( x \in [0, 1]^\omega \) and every \( k \in \omega \) by

\[
\mathcal{F}(x)(k) = \left( \sum_{i=0}^{k} x(i) \right) \mod 2
\]

is the transfer function that takes a sequence of bits \( x \in [0, 1]^\omega \) and checks at each step \( k \) whether it has received an odd number of ones. It then returns 0 if the one’s number is even, and 1 otherwise. In Example 3.1, we will define the component that implements it.
2.2. Components

Definition 2.3 (Components). Let \( \text{In} \) and \( \text{Out} \) be two sets denoting, respectively, the input and output domains. Let \( T \) be a monad. A component \( C \) is a coalgebra \((S,\alpha)\) for the signature \( H = T(\text{Out} \times \_)^{\text{fin}} : \text{Set} \rightarrow \text{Set} \) with a distinguished element \( \text{init} \in S \) denoting the initial state of the component \( C \).

When the initial state \( \text{init} \) is removed, \( C \) is called a pre-component.

Example 2.2. We illustrate the notions previously mentioned with the simple example of a coffee machine \( M \) modeled by the transition diagram shown on Figure 1. The behaviour of \( M \) is the following: from its initial state \( \text{STDBY} \), when it receives a coin from the user, it goes into the \( \text{READY} \) state. Then, when the user presses the “coffee” button, \( M \) either serves a coffee to the user and goes back to the \( \text{STDBY} \) state, or it fails to do so, refunds the user and goes to the \( \text{FAILED} \) state. The only escape from the \( \text{FAILED} \) state is to have a repair. In our framework, this machine is considered as a component \( M = (S, s_0, \alpha) \) over the signature\(^3\) \( P_{\text{fin}}(\text{Out} \times \_)^{\text{fin}} \). The state space is \( S = \{\text{STDBY}, \text{READY}, \text{FAILED}\} \) and \( s_0 = \text{STDBY} \). The sets of inputs and outputs are \( \text{In} = \{\text{coin, coffee, repair}\} \) and \( \text{Out} = \{\text{abs, served, refund}\} \). Finally, the transition function:

\[
\alpha : S \rightarrow P_{\text{fin}}(\{\text{abs, served, refund}\} \times S)^{\{\text{coin, coffee, repair}\}}
\]

is defined as follows:

\[
\alpha(\text{STDBY})(\text{coin}) = \{(\text{abs, READY})\}
\]
\[
\alpha(\text{READY})(\text{coffee}) = \{\text{served, STDBY}, (\text{refund, FAILED})\}
\]
\[
\alpha(\text{FAILED})(\text{repair}) = \{(\text{abs, STDBY})\}
\]

![Figure 1: Coffee machine](image)

Using Definition 2.3 for components, we can unify in a single framework a large family of formalisms classically used to specify state-based systems such as Mealy machines, LTS and IOLTS. Hence, when \( T \) is the identity functor \( \text{Id} \), the resulting component corresponds to a Mealy machine. Choosing \( \text{Out} = \{\text{abs}\} \) and \( \text{In} = \text{Act} \), a set of symbols standing for actions names, and with the powerset monad \( P \) for \( T \), the resulting component corresponds to a Labeled Transition System. Finally, taking as the powerset monad \( P \) for \( T \), \( \text{In} = (\{?\} \times \Sigma') \cup \{abs\} \), \( \text{Out} = (\{!\} \times \Sigma') \cup \{abs\} \) and imposing\(^4\) the supplementary property on the transition function \( \alpha : S \rightarrow P(\text{Out} \times S)^{\text{fin}} \):

\[
\forall i \in \text{In}, \forall s \in S, (i, s') \in \alpha(s)(i) \implies \text{either } i = \text{abs}, \text{ or } i = \text{abs}.
\]

leads to IOLTS.

Definition 2.4 (Category of components). Let \( C \) and \( C' \) be two components over \( H = T(\text{Out} \times \_)^{\text{fin}} \). A component morphism \( h : C \rightarrow C' \) is a coalgebra homomorphism \( h : (S, \alpha) \rightarrow (S', \alpha') \) such that \( h(\text{init}) = \text{init}' \).

We note \( \text{Comp}(H) \) (resp. \( \text{PComp}(H) \)) the category of components (resp. pre-components) over \( H \).

The category of pre-components \( \text{PComp}(H) \) will be useful for us to ensure, in the next section, the existence of a final model.

---

\(^3\)\(P_{\text{fin}}(X) = \{U \subseteq X | U \text{ is finite}\}\) is the finite powerset of \( X \).

\(^4\)\(\text{abs, abs} \notin \Sigma' \cup \Sigma' \) are particular fresh input and output actions denoting the lack of input (\( \text{abs}_i \)) and output (\( \text{abs}_o \)).
2.3. Component Traces

To associate behaviours to components by transfer functions, we have to require the existence of two natural transformations $\eta : T \Rightarrow \mathcal{P}$ and $\eta^{-1} : \mathcal{P} \Rightarrow T$ such that $\eta^{-1} \circ \eta = \text{id}_T$ where $\mathcal{P}$ is the powerset functor. Indeed, from a component $(S, \alpha)$, we need to “compute” for a sequence $x \in \mathbb{N}^\omega$ all the outputs $o$ after going through any sequence of states $(s_0, \ldots, s_k)$ such that $s_j$ is obtained from $s_{j-1}$ by $x(j-1)$. However, we do not know how to characterize $s_j$ with respect to $\alpha(s_{j-1})(x(j-1))$ because nothing ensures that elements in $\alpha(s_{j-1})(x(j-1))$ are (output, state) couples. Indeed, the monad $T$ may yield a set with a structure which differs from Out $\times S$. The mapping $\eta_{\text{Out} \times S}$ maps back to this structure. $\eta_{\text{Out} \times S}^{-1}$ is useful for going back to $T$ when defining final models.

Most monads used to represent computation situations satisfy the above condition. For instance, for the monad $T : \mathcal{P}$, both $\eta_T$ and $\eta_T^{-1}$ are the identity on sets. For the functor $\mathcal{F} : \text{id} + 1$, $\eta_{\mathcal{F}}$ associates the singleton $\{s\}$ to any $s \in S$ and the empty set to $\bot$, and $\eta_{\mathcal{F}}^{-1}$ associates the state $s$ to the singleton $\{s\}$ and $\bot$ to any other subset of $S$ which is not a singleton. Let us observe that given a monad $T$, the couple $(\eta_T, \eta_T^{-1})$ when it exists, is not necessarily unique. Indeed, for the monad $T = \text{id}$, $\eta_{\text{id}}$ can still be defined as $s \mapsto \{s\}$. However, $\eta_{\text{id}}^{-1}$ is not unique. Indeed, any mapping $\eta_{\text{id}}^{-1}$ that associates the singleton $\{s\}$ to $s$, and every subset of $S$ which is not a singleton to a given $s' \in S$, satisfies $\eta_{\text{id}}^{-1} \circ \eta_{\text{id}} = \text{id}_S$. Hence, in the following, for any signature $T(\text{Out} \times \_)^n$, we will assume that a couple $(\eta_T', \eta_T'^{-1})$ such that $\eta_T'^{-1} \circ \eta_T' = \text{id}$ is given.

In the following, we note $\eta'_{\text{Out} \times S}(\alpha(s)(i))_i$ (resp. $\eta'_{\text{Out} \times S}(\alpha(s)(i))_i$) the set composed of all first arguments (resp. second arguments) of couples in $\alpha(s)(i)$.

Let us now associate behaviours to components by their transfer functions. Let us consider a state $s \in S$ of such a component $C = (S', \alpha)$ over $T(\text{Out} \times \_)^\infty$. Applying $\alpha$ to $s$ after receiving an input $i_1 \in \text{In}$ yields a set $\eta'_{\text{Out} \times S}(\alpha(s)(i_1))$ of couples (output,succeesor state). Similarly, after receiving a new input $i_2 \in \text{In}$, we can repeat this step for each state $s' \in \eta'_{\text{Out} \times S}(\alpha(s)(i_1))$ and form another set of couples (output,succeesor state). Thus, we get for each infinite sequence of inputs $(i_1, i_2, \ldots) \in \text{In}^\omega$, a set of infinite sequences of outputs $(o_1, o_2, \ldots) \in \text{Out}^\omega$. All we can possibly observe about a state $s \in S$ is obtained in this way. More formally, this leads to:

**Definition 2.5 (Component behaviour).** Let $C$ be a component over $T(\text{Out} \times \_)^\infty$. The behaviour of a state $s$ of $C$, denoted by $\text{beh}_C(s)$ is the set of transfer functions $F : \text{In}^\omega \rightarrow \text{Out}^\omega$ that associate to every $x \in \text{In}^\omega$ any dataflow $y \in \text{Out}^\omega$ such that there exists an infinite sequence of couples $(o_1, s_1), \ldots, (o_k, s_k), \ldots \in \text{Out} \times S$ satisfying:

$$\forall j \geq 1, (o_j, s_j) \in \eta'_{\text{Out} \times S}(\alpha(s(j-1))(x(j-1)))$$

with $s_0 = s$, and for every $k < \omega$, $y(k) = o_{k+1}$.

Hence, $C$’s behaviour is the set $\text{beh}_C(\text{init})$.

**Example 2.3.** The behaviour $\text{beh}_{M}(s_0)$ of the coffee machine $M$ presented in Example 2.2 is defined by all the functions $\mathcal{F}_\sigma : \{\text{coin, coffee, repair}\}^\omega \rightarrow \{\text{abs, served, refund}\}^\omega$ where $\sigma = n_1, n'_1, n_2, n'_2, \ldots, n_i, n'_i \in \text{In}^\omega$ defined by

$$\mathcal{F}_\sigma : (\text{coin.coffee})^{n_1} \cdot (\text{coin.coffee}.\text{repair})^{n_1} \ldots (\text{coin.coffee})^{n_i} \cdot (\text{coin.coffee}.\text{repair})^{n_i} \ldots \rightarrow (\text{abs.served})^{n_1} \cdot (\text{abs.refund.abs})^{n_1} \ldots (\text{abs.served})^{n_i} \cdot (\text{abs.refund.abs})^{n_i} \ldots$$

where

$$(\text{coin.coffee})^{0} = (\text{coin.coffee}.\text{repair})^{0} = (\text{abs.served})^{0} = (\text{abs.refund.abs})^{0} = \epsilon$$ (the empty word).

Hence, the transfer function that would remain in the loop between the states STDBY and READY would be able to be defined by any function $\mathcal{F}_\sigma$ with $\sigma = n_1, 0, n_2, 0 \ldots, n_i, 0 \ldots$

Thus, the behaviour mapping $\text{beh}$ associates to every state $s \in S$ a set of causal functions $\text{beh}_C(s)$. We will show in Section 3 that the set of all causal function sets can be equipped with the structure of a pre-component via the notion of derivative function. Moreover, this pre-component will be shown to be final in the category $\text{PComp}(H)$. 

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3. Final model of a component

We show here that under some conditions on the cardinality of the set yielded by the mapping beh$_C$ for every component C = (S, $\alpha$) $\in$ PComp$(H)$, the coalgebra of all causal function sets is final.

3.1. Final model

If we suppose that for every pre-component C = (S, $\alpha$) over a signature $H = T(\text{Out} \times \_)^n$, and for every $s \in S$ the cardinality of beh$_C(s)$ is less than a cardinal $\kappa$, then we can define a coalgebra $(\Gamma, \pi)$ over H and show that it is final in PComp$(H)$. But before, let us introduce some notions that will be useful for this purpose.

Definition 3.1 (Derivative dataflow). Let $x$ be a dataflow over a set A. The dataflow $x'$ derivative of $x$ is defined by:

\[
\forall n \in \omega, \ x'(n) = x(n+1).
\]

For every $a \in A$, let us denote by $a \cdot x$ the dataflow $y$ defined by:

\[
y(0) = a \quad \text{and} \quad \forall n \in \omega \setminus \{0\}, \ y(n) = x(n-1)
\]

Hence, $x = x(0), x'$.

Definition 3.2 (Derivative function). Let $\mathcal{F} : \text{In}^\omega \rightarrow \text{Out}^\omega$ be a transfer function. For every input $i \in \text{In}$, we define the derivative function $\mathcal{F}_i : \text{In}^\omega \rightarrow \text{Out}^\omega$ for every $x \in \text{In}^\omega$ by $\mathcal{F}_i(x) = \mathcal{F}(i, x)'$.

Hence, given a cardinality $\kappa$, let us now define the coalgebra $(\Gamma, \pi)$ as follows: 5

1. $\Gamma = \mathcal{P}_{\leq \kappa}(\mathcal{F} : \text{In}^\omega \rightarrow \text{Out}^\omega \mid \mathcal{F} \text{ is causal})$

2. for every $\mathcal{F} \in \Gamma$ and for every $i \in \text{In}$, $\pi(\mathcal{F})(i) = \eta_{\text{Out}^\omega, \mathcal{F}}^{-1}(\Pi)$ where:

\[
\Pi = \left\{ (o, \mathcal{F}'_o) \mid o \in \bigcup_{\mathcal{F} \in \mathcal{F}} (\mathcal{F}(i,x)(0)) \text{ and,} \right. \\
\mathcal{F}'_o = \{ \mathcal{F}(i,x)' \mid \mathcal{F}(i,x)(0) = o \text{ and } \mathcal{F} \in \mathcal{F} \}, \\
\left. \text{for } x \in \text{In}^\omega \text{ chosen arbitrarily} \right\}
\]

Let us note here that using $\mathcal{F}'_o = \{ \mathcal{F}(i,x)' \mid \mathcal{F}(i,x)(0) = o \text{ and } \mathcal{F} \in \mathcal{F} \}$ instead of $\mathcal{F}' = \{ \mathcal{F}(i,x)' \mid \mathcal{F} \in \mathcal{F} \}$ in the definition of $(\Gamma, \pi)$ allows us to keep the computational effects carried by the monad $T$. This is done by linking the output $o$ to the derivative function set $\mathcal{F}'$ i.e. the derivative function set is not only linked to the input $i$ but also to the output associated to $i$. This construction of the set $\mathcal{F}'$ is useful to prove that $(\Gamma, \pi)$ is final in PComp$(H)$.

Theorem 3.1. Let $H = T(\text{Out} \times \_)^n$ be a signature such that for every pre-component C = (S, $\alpha$) over H, and for every $s \in S$, $|\text{beh}_C(s)| \leq \kappa$. Then, the coalgebra $(\Gamma, \pi)$ is final in PComp$(H)$.

Proof. Let $(\Gamma, \pi)$ be as stated, and let C = (S, $\alpha$) $\in$ PComp$(H)$ be an arbitrary component. We have to show that there exists a unique homomorphism of components $S \rightarrow \Gamma$. For this, let us take the behaviour mapping beh$_C : S \rightarrow \Gamma$ (see Definition 2.5) which for every $s \in S$ associates a finite set of transfer functions $\mathcal{F} = \{ \mathcal{F} : \text{In}^\omega \rightarrow \text{Out}^\omega \mid \mathcal{F} \text{ is causal} \} \in \Gamma$. We have to prove that it is the unique homomorphism which makes the following diagram commute.

\[
\begin{array}{ccc}
S \times \text{In} & \xrightarrow{\text{beh}_C \times \text{id}_{\text{In}}} & \Gamma \times \text{In} \\
\alpha \downarrow & & \pi \downarrow \\
T(\text{Out} \times S) & \xrightarrow{T(\text{id}_{\text{Out}} \times \text{beh}_C)} & T(\text{Out} \times \Gamma)
\end{array}
\]

5$\mathcal{P}_{\leq \kappa}(X) = \{ U \mid U \subseteq X \text{ and } |U| \leq \kappa \}$
We first prove that the diagram commutes. First of all, it is easy to see that the three following properties are satisfied:

\[ \forall f : S \rightarrow S', \forall X \in T(S) : T(f)(X) = \eta^{-1}_S \circ \mathcal{F}(f) \circ \eta_S(X) \quad (1) \]

\[ \forall s \in S, i \in \ln \text{ and } \forall (o, s') \in \eta'_{\text{Out}}(\alpha(s)(i)) \quad \text{beh}_C(s') = \text{beh}_C(s') \quad \text{ Def. of } \pi \]

\[ \forall i \in \ln, s \in \ln \text{ and } \text{beh}_C(s) \in \Gamma, \eta'_{\text{Out}}(\alpha(s)(i)) = [\mathcal{F}(i,x)(0) = o \text{ and } \mathcal{F} \in \text{beh}_C(s)] \quad (3) \]

Hence, let \( s \in S, i \in \ln \text{ and } x \in \ln^o \) be arbitrary. We have to prove that:

\[ (T(id_{\text{Out}} \times \text{beh}_C) \circ \alpha)(s)(i) = (\pi \circ (\text{beh}_C \times \text{id}_{\ln}))(s)(i) \]

Next we have to prove uniqueness. In order to prove this last point, we need to prove the following lemma:

**Lemma 1.** For every component homomorphism \( f : S \rightarrow \Gamma, \) for every \( x \in \ln^o \) and for every \( s \in S \) we have:

\[ (f(s)(x))' = [f(s')(x') \mid s' \in \eta'_{\text{Out}}(\alpha(s)(x(0)))_o] \]

where \( x' \) is the derivative of \( x. \)
Proof.

\[
(f(s)(x))' = \left\{ (o_1, o_2, \ldots, o_k, \ldots) \mid \exists s_0, s_1, \ldots, s_k, \ldots \in S \\
such that s = s_0, (o_1, s_1) \in \eta'_{\text{Out}}(\alpha(s_0)(x(0))) \\
and \forall 2 \leq j \leq k - 1, (o_j, s_j) \in \eta'_{\text{Out}}(\alpha(s_{j-1})(x(j - 1)))) \\
and o_k \in \eta'_{\text{Out}}(\alpha((s_k)(x(k))))_i \right\}
\]

\[
= \left\{ (o_2, o_3, \ldots) \mid \exists s_1, s_2, \ldots \in S \\
such that s_1 \in \eta'_{\text{Out}}(\alpha(s_0)(x(0)))_i \\
and \forall 2 \leq j \leq k - 1, s_j \in \eta'_{\text{Out}}(\alpha(s_{j-1})(x(j - 1))))_i \\
and o_k \in \eta'_{\text{Out}}(\alpha(s_k)(x(k))))_i \right\}
\]

\[
= \{ (f(s_1)(x')) | s_1 \in \eta'_{\text{Out}}(\alpha(s_0)(x(0)))_i \}
\]

\[
= \{ (f(s')(x')) | s' \in \eta'_{\text{Out}}(\alpha(s)(x(0)))_i \}
\]

End

Now, let us assume that \( g : S \to \Gamma \) is also a homomorphism of components. Let us show that the relation \( R \subseteq \mathcal{P}_2(\text{Out}) \times \mathcal{P}_2(\text{Out}) \) defined as:

\[
R = \{(g(s)(x), \text{beh}(s)(x)) \mid s \in S, x \in \text{In}^\omega, g(s)(x) = \text{beh}(s)(x) \}
\]

is a bisimulation.

It can be shown by coinduction on \( x \in \text{In}^\omega \), that for all \( s \in S \) we have:

\[
g(s)(x) = \text{beh}(s)(x)
\]

The initial set outputs of \( g(s)(x) \) and \( \text{beh}(s)(x) \) agree, since at the initial input \( x(0) \) of \( x \), we have:

\[
g(s)(x)(0) = \eta'_{\text{Out}}(\alpha(s)(x(0)))_i = \text{beh}(s)(x)(0)
\]

\[
(g(s)(x))' = (g(s)(x(0),x'))' = \{ (g(s')(x')) | s' \in \eta'_{\text{Out}}(\alpha(s)(x(0)))_i \} \quad \text{Lemma 2}
\]

\[
(\text{beh}(s)(x))' = (\text{beh}(s)(x(0),x'))' = \{ (\text{beh}(s')(x')) | s' \in \eta'_{\text{Out}}(\alpha(s)(x(0)))_i \} \quad \text{Lemma 2}
\]

Hence the function derivatives sets are also R-related, and we conclude that \( R \) is a bisimulation.

End

3.2. Minimal component

A final model of the functor \( F = T(\text{Out} \times \_)^\omega \) provides an abstract model of all possible behaviours of its \( F \)-coalgebras. Hence, in practice, it cannot be handled as a whole, but we can construct the minimal part of it (minimality refers to the cardinality of the state set) for every state \( s \in S \) of a \( F \)-coalgebra \( C = (S, \alpha) \). This is done by computing the smallest subcoalgebra in \( (\Gamma, \pi) \) containing \( \text{beh}_C(s) \). More generally, given a subset \( \mathcal{F} \subset \Gamma \) of causal functions, we can compute the smallest subcoalgebra in \( (\Gamma, \pi) \), noted \( (\mathcal{F}) \), containing \( \mathcal{F} \). This coalgebra is called the coalgebra generated by \( \mathcal{F} \) in \( (\Gamma, \pi) \).

Definition 3.3 (Component generated by \( \mathcal{F} \)). Let \( (\Gamma, \pi) \) be the final model over the signature \( H = T(\text{Out} \times \_)^\omega \). Let \( \mathcal{F} \subset \Gamma \). The **component** \( (\mathcal{F}) \) **generated by** \( \mathcal{F} \) in \( (\Gamma, \pi) \) is the component \((\langle \mathcal{F} \rangle, \mathcal{F}, \alpha_{\langle \mathcal{F} \rangle})\) defined as follows:

9
• $F$ is the initial state,

• $\langle F \rangle$ is the set of transfer functions sets inductively defined as follows:
  
  \[ (\langle F \rangle)^0 = \{ F \} \]
  
  \[ (\langle F \rangle)^i = \left\{ G' \mid \exists G \in (\langle F \rangle)^{i-1}, \exists i \in \text{ln}, \exists o \in \text{Out}, o \in \bigcup_{F \in G} F(i.x)(0) \right\} \]
  
  for $x \in \text{ln}^\omega$ chosen arbitrarily

Hence, $\langle F \rangle = \bigcup_{j\in\omega} (\langle F \rangle)^j$

• $\sigma_{\langle F \rangle} : (\langle F \rangle) \times \text{ln} \rightarrow T(\text{Out} \times (\langle F \rangle))$ is the mapping which for every $G \in (\langle F \rangle)$, and for every input $i \in \text{ln}$ associates $\sigma_{\langle F \rangle}^{-1}(G')$ where $\Pi'$ is the set:

  \[ \Pi' = \left\{ (o, G'_o) \mid o \in \bigcup_{F \in G} F(i.x)(0)) \right\} \]

  for $x \in \text{ln}^\omega$ chosen arbitrarily

It is easy to notice that both components $C = (S, \text{init}, \alpha)$ and $(\text{beh}_C(\text{init}))$ share the same trace semantics i.e. $\text{Trace}(C) = \text{Trace}(\text{beh}_C(\text{init})) = \text{beh}_C(\text{init})$. (see Definition 2.5).

Example 3.1. For a better understanding of the definition of a minimal component, we consider as an example the binary Mealy machine $M$ modeled by the transition diagram shown on Figure 2. This machine $M$ is considered as a component $M = (\{s_0, s_1, s_2\}, s_0, \alpha)$ over the signature $(\{0, 1\} \times \{0, 1\})^\omega$ where the transition function:

\[ \alpha : \{s_0, s_1, s_2\} \rightarrow (\{0, 1\} \times \{s_0, s_1, s_2\})^\omega \]

is defined as follows:

\[ \begin{align*}
\alpha(s_0)(0) &= (0, s_2) \\
\alpha(s_0)(1) &= (1, s_1) \\
\alpha(s_1)(0) &= (1, s_1) \\
\alpha(s_1)(1) &= (0, s_2) \\
\alpha(s_2)(0) &= (0, s_2) \\
\alpha(s_2)(1) &= (1, s_1)
\end{align*} \]

It is not difficult to see that applying Definition 2.5 to the initial state $s_0$ leads to the minimal set of transfer functions $\text{beh}_M(s_0) = \{ F_1 \}$ where $F_1 : [0, 1]^\omega \rightarrow [0, 1]^\omega$ is the transfer function of Example 2.1, i.e. the one defined for every $\sigma \in [0, 1]^\omega$ and for every $k \in \omega$ by:

\[ F_1(\sigma(k)) = \left( \sum_{j=0}^{k} \sigma(i) \right) \mod 2 \]

Now to compute the minimal component $(\text{beh}_M(s_0))$, we need to compute all derivative sets of transfer functions starting from $\text{beh}_M(s_0)$. With a simple computing, we can conclude that the state of $(\text{beh}_M(s_0))$ consists of two states:
Figure 2: Binary Mealy automaton

\([\mathcal{F}_1] \text{ and } [\mathcal{F}_2] \text{ where } \mathcal{F}_2 : \{0, 1\}^\omega \rightarrow \{0, 1\}^\omega \) is the transfer function defined for every \( \sigma \in \{0, 1\}^\omega \) and for every \( k \in \omega \) by:

\[
\mathcal{F}_2(\sigma(k)) = 1 - \left( \sum_{i=0}^{k} \sigma(i) \right) \mod 2
\]

Computing further derivative sets will not yield any new transfer functions sets. Thus, \((\text{beh}_{\mathcal{M}(s_0)})\) is the component \((\{\mathcal{F}_1, \mathcal{F}_2\}, \{\mathcal{F}_1\}, \alpha_{\text{beh}_{\mathcal{M}(s_0)}})\) where:

\[
\alpha_{\text{beh}_{\mathcal{M}(s_0)}} : ([\mathcal{F}_1], [\mathcal{F}_2]) \rightarrow ([0, 1] \times ([\mathcal{F}_1], [\mathcal{F}_2]))^{(0,1)}
\]

is the transition function defined as follows:

\[
\begin{align*}
\alpha_{\text{beh}_{\mathcal{M}(s_0)}}([\mathcal{F}_1])(0) &= (0, [\mathcal{F}_1]) \\
\alpha_{\text{beh}_{\mathcal{M}(s_0)}}([\mathcal{F}_1])(1) &= (1, [\mathcal{F}_2]) \\
\alpha_{\text{beh}_{\mathcal{M}(s_0)}}([\mathcal{F}_2])(0) &= (1, [\mathcal{F}_2]) \\
\alpha_{\text{beh}_{\mathcal{M}(s_0)}}([\mathcal{F}_2])(1) &= (0, [\mathcal{F}_1])
\end{align*}
\]

and then can be depicted as:

4. Integration of components

4.1. Basic integration operators

4.1.1. Cartesian product

The cartesian product is a composition where both components are executed simultaneously when triggered by a pair of input values.

Definition 4.1 (Cartesian product \(\otimes\)). Let \(H_1 = T(\text{Out}_1 \times \text{In}_1)\) and \(H_2 = T(\text{Out}_2 \times \text{In}_2)\) be two signatures. Let \(H = T(\text{Out}_1 \times \text{Out}_2 \times \text{In}_1 \times \text{In}_2)\) be the signature resulting of the product of \(H_1\) and \(H_2\). Let us define the cartesian integration functor:
as follows: for every component $C_1 = (S_1, \text{init}_1, \alpha_1) \in \text{Comp}(H_1)$ and every component $C_2 = (S_2, \text{init}_2, \alpha_2) \in \text{Comp}(H_2)$, $\otimes((C_1, C_2)) = (S, \text{init}, \alpha)$ where:

- $S = S_1 \times S_2$ is the set of states,
- $\text{init} = (\text{init}_1, \text{init}_2)$ is the initial state,
- $\alpha : S \times (\text{In}_1 \times \text{In}_2) \rightarrow T((\text{Out}_1 \times \text{Out}_2) \times S)$ is the mapping defined as follows: $\forall i = (i_1, i_2) \in \text{In}_1 \times \text{In}_2$ and $(s_1, s_2) \in S$:
  \[
  \alpha(s_1, s_2)(i) = \eta_{\text{Out}_1, \text{Out}_2, S}^{-1}((\alpha_1, \alpha_2)(s_1, s_2), (\eta_{\text{Out}_1, \text{Out}_2, S}^{-1}(\alpha_1)\pi(i_1)), (\eta_{\text{Out}_2, S}^{-1}(\alpha_2)\pi(i_2)))
  \]

4.1.2. Feedback

The feedback operator is a composition where some outputs of a component are linked to its inputs. This means that some outputs can be fed back as inputs. In order to obtain a model which fits our component definition, we need to take into account the computational effects of the monad $T$. This monad impacts both the evolution of the state of the component and the observation of its outputs. Therefore, the feedback link between outputs and inputs carries to the inputs part of the structure imposed by $T$ to the outputs. For instance, with the monad built on $\mathcal{P}$ for modeling non-determinism, the feedback may bring non-determinism to the inputs of the component.

We introduce feedback interfaces for defining correspondences between outputs and inputs of components. A feedback interface also allows us to keep only the inputs and the outputs that are not involved in feedback thanks to component-wise projections $\pi_i$ and $\pi_o$.

**Definition 4.2 (Feedback interface).** Let $H = T(\text{Out} \times \_)^{\text{In}}$ be a signature. A feedback interface over $H$ is a triplet $I = (f, \pi_i, \pi_o)$ where:

- $f : \text{In} \times \text{Out} \rightarrow \text{In}$ is a function such that:
  \[
  \forall (i, o) \in \text{In} \times \text{Out}, f(i, o) = f(i, o)
  \]
- $\pi_i : \text{In} \rightarrow \text{In}'$ and $\pi_o : \text{Out} \rightarrow \text{Out}'$ are surjective mappings\(^6\) such that:
  \[
  \forall (i, o) \in \text{In} \times \text{Out}, \pi_i(i) = \pi_i(f((i, o)))
  \]

The mapping $f$ allows to specify how components are linked and which parts of their interfaces are involved in the composition process. Both mappings $\pi_i$ and $\pi_o$ can be thought as extensions of the hiding connective found in process calculi [24]. Thereby, the feedback interface enables encapsulation by making invisible the internal interactions made in the scope of the component. This encapsulation helps to separate both the internal behaviour and local interactions from the external interactions with the global system, and thus to treat interactions between components independently of the behaviour of individual components.

Two kinds of feedback operators are distinguished in this paper: relaxed feedback and synchronous feedback. The first kind means that in a reaction, the output is not simultaneous with the input. This relaxed feedback composition depends on the previous output and the current input. The second kind means that the reaction of a system takes no observable time [25]. Systems produce their outputs synchronously with their inputs. More precisely, at some reaction $r$, the output of system $S$ in $r$ must be available to its inputs in the same reaction $r$.

\(^6\)i.e component-wise projections
We have to make a correspondence between homomorphisms in $\text{Comp}(H)$ and in $\text{Comp}(H')$.

Let $f : C_1 \to C_2$ be an homomorphism in $\text{Comp}(H)$. Then, let us define $\leftrightarrow_I(f) : \leftrightarrow_I(C_1) \to \leftrightarrow_I(C_2)$ where $\leftrightarrow_I(C_i) = ((F_i), F_i, \alpha_{(F_i)})$ for $i = 1, 2$ as follows:

- $\leftrightarrow_I(f)(F_1) = F_2$.
- For every $j, 0 < j < \omega$, for every $G' \in (F_1)^j$, we know by definition that there exists $G \in (F_1)^{j-1}$, $i \in \text{In}$ and $\epsilon \in \text{Out}$ such that:

$$\epsilon \in \bigcup_{F \in G} (F(i, x)(0))$$
Let us finish by showing that \( \leftarrow\mathcal{T}(G') \) is nonempty.

Let us then prove by induction on the structure of \( \mathcal{G} \) that \( \leftarrow\mathcal{T}(\mathcal{G}) = \text{id}_{\leftarrow\mathcal{T}(\mathcal{G})} \).

- Basic Step: By definition of \( \leftarrow\mathcal{T}(\mathcal{G}) \), one has \( \leftarrow\mathcal{T}(\mathcal{G}) = \mathcal{G} \).

- Induction Step: Let \( G' \in (\mathcal{G})^{t+1} \). We know by definition of \( G' \) that there exists \( G \in (\mathcal{G})', i \in \mathbb{N} \) and \( o \in \mathbb{O} \) such that \( o \in \bigcup_{\mathcal{F} \in \mathcal{G}} (\mathcal{F}(i.x)(0)) \) and \( G' = (\mathcal{F}(i.x)' \mid \mathcal{F}(i.x)(0) = o \text{ and } \mathcal{F} \in \mathcal{G}) \) for \( x \in \mathbb{N} \) chosen arbitrarily. Then, by definition of \( \leftarrow\mathcal{T}(\mathcal{G}) \) one has:

\[
\leftarrow\mathcal{T}(\mathcal{G}) = \bigg\{ \mathcal{F}'(i.x)' \mid \mathcal{F}'(i.x)(0) = o \text{ and } \mathcal{F} \in \bigcup_{\mathcal{F} \in \mathcal{G}} (\mathcal{F}(i.x)(0)) \bigg\} \quad \text{by induction hypothesis}
\]

\[
= \bigg\{ \mathcal{F}'(i.x)' \mid \mathcal{F}'(i.x)(0) = o \text{ and } \mathcal{F} \in \bigcup_{\mathcal{F} \in \mathcal{G}} (\mathcal{F}(i.x)(0)) \bigg\} \quad \text{by definition of } \text{id}_{\leftarrow\mathcal{T}(\mathcal{G})}
\]

\[
= \bigg\{ \mathcal{F}'(i.x)' \mid \mathcal{F}'(i.x)(0) = o \text{ and } \mathcal{F} \in \mathcal{G} \bigg\} \quad \text{by hypothesis}
\]

\[
= \mathcal{G}'
\]

\[
= \text{id}_{\leftarrow\mathcal{T}(\mathcal{G})}(\mathcal{G}')
\]

For preservation of composition. Let \( f_1 : C_1 \longrightarrow C_2 \) and \( f_2 : C_2 \longrightarrow C_3 \) be two homomorphisms in \( \text{Comp}(\mathcal{H}) \). Let \( \leftarrow\mathcal{T}(f_1) : (\mathcal{F}_1) \longrightarrow (\mathcal{F}_2) \) and \( \leftarrow\mathcal{T}(f_2) : (\mathcal{F}_2) \longrightarrow (\mathcal{F}_3) \) their associated homomorphisms in \( \text{Comp}(\mathcal{H}') \) where \( \leftarrow\mathcal{T}(C_1) = (\mathcal{F}_1) \), \( \leftarrow\mathcal{T}(C_2) = (\mathcal{F}_2) \) and \( \leftarrow\mathcal{T}(C_3) = (\mathcal{F}_3) \).

Let us then prove by induction on the structure of \( \mathcal{F} \) that \( \leftarrow\mathcal{T}(f_2 \circ f_1) = \leftarrow\mathcal{T}(f_2) \circ \leftarrow\mathcal{T}(f_1) \).

- Basic Step: By definition of \( \leftarrow\mathcal{T}(f_2 \circ f_1) \), one has

\[
\leftarrow\mathcal{T}(f_2 \circ f_1)(\mathcal{F}_1) = \mathcal{F}_1 \quad \text{by definition of } \leftarrow\mathcal{T}(f_2)
\]

\[
= \leftarrow\mathcal{T}(f_2)(\mathcal{F}_1) \quad \text{by definition of } \leftarrow\mathcal{T}(f_1)
\]

\[
= \leftarrow\mathcal{T}(f_2) \circ \leftarrow\mathcal{T}(f_1)(\mathcal{F}_1)
\]

- Induction Step: Let \( G_1' \in (\mathcal{G}_1')^{t+1} \). We know by definition of \( G_1' \) that there exists \( G_1 \in (\mathcal{G}_1)', i \in \mathbb{N} \) and \( o \in \mathbb{O} \) such that \( o \in \bigcup_{\mathcal{F} \in \mathcal{G}_1} (\mathcal{F}_1(i.x)(0)) \) and \( G_1' = (\mathcal{F}_1(i.x)' \mid \mathcal{F}_1(i.x)(0) = o \text{ and } \mathcal{F} \in \mathcal{G}_1) \) for \( x \in \mathbb{N} \) chosen arbitrarily. By definition of \( \leftarrow\mathcal{T}(f_1) \), we also know that \( \leftarrow\mathcal{T}(f_1)(\mathcal{G}_1') = \bigg\{ \mathcal{F}_1'(i.x)' \mid \mathcal{F}_1'(i.x)(0) = o \text{ and } \mathcal{F}_1' \in \bigcup_{\mathcal{F} \in \mathcal{G}_1} (\mathcal{F}_1(i.x)(0)) \bigg\} \).

Let us denote by the set \( \bigg\{ \mathcal{F}_1'(i.x)' \mid \mathcal{F}_1'(i.x)(0) = o_1 \text{ and } \mathcal{F}_1' \in \bigcup_{\mathcal{F} \in \mathcal{G}_1} (\mathcal{F}_1(i.x)(0)) \bigg\} \) by \( \mathcal{G}_1'' \). This set belongs to \( \mathcal{F}_2 \). Then, we know by definition of \( \mathcal{G}_2' \) that there exists \( \mathcal{G}_2 \in (\mathcal{G}_2') \) such that \( \mathcal{G}_2 \in \bigcup_{\mathcal{F} \in \mathcal{G}_2} (\mathcal{F}_2(i.x)(0)) \) and \( \mathcal{G}_2' = (\mathcal{F}_2(i.x)' \mid \mathcal{F}_2(i.x)(0) = o \text{ and } \mathcal{F}_2 \in \mathcal{G}_2) \). By definition of \( \leftarrow\mathcal{T}(f_2) \), we know that \( \leftarrow\mathcal{T}(f_2)(\mathcal{G}_2') = \bigg\{ \mathcal{F}_2'(i.x)' \mid \mathcal{F}_2'(i.x)(0) = o \text{ and } \mathcal{F}_2' \in \bigcup_{\mathcal{F} \in \mathcal{G}_2} (\mathcal{F}_2(i.x)(0)) \bigg\} \).
Now, we have that
\[
\leftarrow_{T}(f_2) \circ \leftarrow_{T}(f_1)(G'_1) = \leftarrow_{T}(f_2)(\leftarrow_{T}(f_1)(G'_1)) = \leftarrow_{T}(f_2)(G'_2)
\]
\[
= \{F'_2(i.x') \mid F'_2(i.x)(0) = o \land F'_2 \in \leftarrow_{T}(f_2)(\leftarrow_{T}(f_1)(G'_1))\}
\]
\[
= \{F'_2(i.x') \mid F'_2(i.x)(0) = o \land F'_2 \in \leftarrow_{T}(f_2)(\leftarrow_{T}(f_1)(G'_1))\}
\]
induction hypothesis
\[
= \{F'_2(i.x') \mid F'_2(i.x)(0) = o \land F'_2 \in \leftarrow_{T}(f_2 \circ f_1)(G'_1)\}
\]
\[
= \leftarrow_{T}(f_2 \circ f_1)(G'_1)
\]

End

The synchronous feedback is more difficult to define because it requires the existence of an instantaneous fixpoint (i.e. defined at the same time and not deferred of one unit). This gives rise to the notion of well-formed feedback composition.

**Definition 4.4 (Well-formed feedback composition).** Let \( H = T(\text{Out} \times \omega) \) be a signature. Let \( C \) be a component over \( H \) and \( I = (f, \pi, \pi_o) \) be a feedback interface over \( H \). We say that the synchronous feedback composition of \( C \) over \( I \) is **well-formed** if, and only if for every state \( s \in S \) and every \( x \in \text{In}^\omega \), there exists \( y \in \text{Out}^\omega \) such that for every \( n < \omega \), \( y(n) \in \eta'_{\text{Out} < S}(\alpha(s)(f(x(n), y(n)))) \).

Hence, systems with feedbacks not well-formed will be rejected. They are considered as instable or not well defined systems.

**Definition 4.5 (Synchronous feedback \( \odot \)).** Let \( H = T(\text{Out} \times \omega) \) be a signature and \( I = (f, \pi, \pi_o) \) be a feedback interface over \( H \). Let us note \( H' = T(\text{Out}' \times \omega) \). Let us define for every \( x \in \text{In}^\omega \), the set \( \Theta_x \) of output sequences \( y \in \text{Out}^\omega \) defined from an infinite sequence of states \( (x_0, s_1, \ldots, s_k, \ldots) \) of \( S \) as follows:

\[
\forall n, 0 \leq n < \omega, \ (y(n), s_{n+1}) \in \eta'_{\text{Out} < S}(\alpha(s_n)(f(x(n), y(n)))) \text{ (by hypothesis, } C \text{'s feedback composition being well-formed over } I, \text{ such } y \text{ exists)}
\]

Then, the operation of synchronous feedback over \( I \) is the partial mapping \( \odot_I : \text{Comp}(H) \rightarrow \text{Comp}(H) \) that associates to every component \( C = (S, \Theta, \alpha) \) over \( H \) whose the feedback composition over \( I \) is well-formed, the component \((\overline{F}, F, \alpha, \overline{\alpha})\) over \( H' \) where \( \overline{F} \) is the set of transfer functions \( F : \text{In}^\omega \rightarrow \text{Out}^\omega \), each one defined by \( F(x') = y' \text{ where there exists } x \in \text{In}^\omega \text{ s.t. there exists } y \in \Theta_x \text{ satisfying } \forall i < \omega, x'(i) = \pi_s(x(i)) \text{ and } y'(i) = \pi_o(y(i)) \).

**Example 4.1.** Consider a component \( C \) with state space \( S = \{s_1, s_2\} \) and transition function \( \alpha : S \times \{T, F\} \rightarrow \{T, F\} \times S \) defined by the following figure:

Let us build the composite component that hides the feedback, as suggested by the above definition. For the feedback interface, we choose \( f : \text{In} \times \text{Out} \rightarrow \text{In} \) as the "and" operator, and \( \pi \) and \( \pi_o \) as the identities on \( \text{In} \) and \( \text{Out} \) respectively. First of all, let us show that the composition is well-formed:

\[
\begin{align*}
F \in \eta'(\alpha(s_1)(f(F, F)))_h & \quad T \in \eta'(\alpha(s_2)(f(F, T)))_h \\
F \in \eta'(\alpha(s_1)(f(T, F)))_h & \quad T \in \eta'(\alpha(s_2)(f(T, T)))_h
\end{align*}
\]
The function $\mathcal{F} : \{T, F\}^\omega \rightarrow \{T, F\}^\omega$ defined for every $x \in \{T, F\}^\omega$ and for every $k, 0 \leq k < \omega$, by:

$$\mathcal{F}(x)(k) = \begin{cases} F & \text{if } k \text{ is even} \\ T & \text{otherwise} \end{cases}$$

is the unique transfer function that can be defined using our synchronous feedback definition. Indeed, both associated outputs to each input $x \in \{T, F\}$ from $s_1$ are $F$, and both associated outputs to each $x \in \{T, F\}$ from $s_2$ are $T$. Then the feedback composite $\mathcal{C}_T(C)$ over the interface $I$ is the component $\langle(\delta(\mathcal{F})), (\mathcal{F}), \alpha(\delta(\mathcal{F})) \rangle$ where the set of states $\langle(\delta(\mathcal{F})) \rangle$ is obtained by a repeated computation of derivative starting from $\langle(\delta(\mathcal{F})) \rangle$. The states of $\langle(\delta(\mathcal{F})) \rangle$ then contains the set of all derivative functions of $\mathcal{F}$ that are $\mathcal{F}$ and $\mathcal{F}'$ where $\mathcal{F}' : \{T, F\}^\omega \rightarrow \{T, F\}^\omega$ is the function defined for every $x \in \{T, F\}^\omega$ and for every $k, 0 \leq k < \omega$, by:

$$\mathcal{F}'(x)(k) = \begin{cases} T & \text{if } k \text{ is even} \\ F & \text{otherwise} \end{cases}$$

This then leads to the following component $\langle(\delta(\mathcal{F})) \rangle$:

![Diagram of synchronous feedback composition over I](image)

**Proposition 4.2.** $\mathcal{C}_T : \text{Comp}(H) \rightarrow \text{Comp}(H')$ is a partial functor only defined for component $C$ whose the synchronous feedback composition over $I$ is well-formed.

**Proof.** The proof is noticeably similar to the proof given for $\rightarrow_T$. 

**End**

We can define as well feedback in terms of its argument as concrete coalgebras as this has been done for product in Definition 4.1, and not on behaviours as this is done in Definitions 4.3 and 4.5. For the synchronous feedback, this leads to:

**Definition 4.6 (Synchronous feedback $\mathcal{C}'_T$).** Let $H = T(\text{Out} \times \text{Out})^\omega$ be a signature and $I = (f, \pi, \alpha)$ be a feedback interface over $H$. Let us note $H' = T(\text{Out}' \times \text{Out})^\omega$. The operation of synchronous feedback over $I$ is the partial functor $\mathcal{C}'_T : \text{Comp}(H) \rightarrow \text{Comp}(H')$ that associates to every component $C = (S, \text{init}, \alpha)$ over $H$ for which the feedback composition over $I$ is well-formed, the component $C' = (S', \text{init}', \alpha')$ over $H'$ such that:

- $S' = S$
- $\text{init}' = \text{init};$
- $\alpha' : S' \rightarrow T(\text{Out}' \times S')^\omega$ is the transition mapping defined by: $\forall s'_1 \in S', \forall i' \in \text{ln}', \alpha'(s'_1)(i') = \eta^{-1}_{\text{Out}' \times S'}(\Pi)$ where $\Pi$ is the set:

$$\Pi = \{ (o', s'_2) \mid \exists i \in \text{ln}, \exists o \in \text{Out}, (o, s'_2) \in \eta_{\text{Out} \times S'}(\alpha(s'_1)(f(i, o))), \pi(i) = i' \text{ and } \pi(o) = o' \}$$

Relaxed feedback can be defined similarly. Definition 4.5 and Definition 4.6 are equivalent. Indeed, it is obvious to check that $\text{beh}_{\mathcal{C}'_T(G)(\text{init}_i)} = \text{beh}_{\mathcal{C}_T(G)(\text{init}_i)} = \mathcal{F}$. Although $\mathcal{C}'_T$ is defined more uniformly with product $\otimes$ because both are defined as concrete coalgebras, the interest of $\mathcal{C}_T$ (resp. $\rightarrow_T$) is that the resulting component is the minimal one. This will make compositionality proofs easier in Section 5.2 and Section 8.

---

The exponent $c$ in $\mathcal{C}'_T$ is to express that feedback is defined in terms of its argument as concrete coalgebras.
4.2. Complex operators

As explained before, from these basic operators, we can build more complex ones by composition.

**Definition 4.7 (Complex operator).** The set of complex operators, is inductively defined as follows:

- _ is a complex operator of arity 1;
- if op₁ and op₂ are complex operators of arity n₁ and n₂ respectively, then op₁ ⊗ op₂ is a complex operator of arity n₁ + n₂;
- if op is a complex operator of arity n and I is a feedback interface, then op_I is a complex operator of arity n;
- if op is a complex operator of arity n and I is a feedback interface, then op_I is a complex operator of arity n.

In the following, as examples of complex operators, we show how three standard integration operators, respectively sequential composition, synchronous product and concurrent composition, can be defined in our framework.

4.2.1. Sequential composition

The sequential composition of two components C₁ and C₂ corresponds to a composition where both components C₁ and C₂ are interconnected side-by-side and the output of one is the input of the other. When C₁ is triggered by an input i from the environment, C₁ processes i and the produced output is fed to C₂ (see Figure 4). A requirement for this composition to be defined is that Out₁ has to be included into In₂ (Out₁ ⊆ In₂). This ensures that any output produced by C₁ is an acceptable input to C₂.

This kind of composition

\[ \triangleright : \text{Comp}(H₁) \times \text{Comp}(H₂) \rightarrow \text{Comp}(H) \]

\[ \xrightarrow{\text{In}_1} (S₁, α₁) \xrightarrow{\text{Out}_1} (S₂, α₂) \xrightarrow{\text{Out}_2} \xrightarrow{\text{In}_2} (S, α) \xrightarrow{\text{Out}_2} \]

can be naturally defined in our framework using both feedback operator and cartesian product by:

\[ \triangleright ((C₁, C₂)) = \odot_I ((C₁ \otimes C₂)) \]

where I = (f, πᵣ, πᵢ) is the feedback interface defined for every (i, i') ∈ In₁ × In₂ and (o, o') ∈ Out₁ × Out₂ as follows:

\[ f((i, i'), (o, o')) = (i, o), \quad πᵣ(i, i') = i \quad \text{and} \quad πᵢ((i, o', o')) = o' \]

and ⊲ stands for ⊲ or ⊲ depending on whether we want a relaxed or instantaneous sequential composition. For the first sequential composition, the output o produced from the component C₁ after triggering by an input i takes some observable time to feed to the component C₂. In this case, \[\triangleright\] will be denoted by \[\triangleright_r\]. For the second one, the output o produced from the component C₁ after triggering by an input i is directly fed to the input of the component C₂. In this case, \[\triangleright\] will be denoted by \[\triangleright_o\].

4.2.2. Synchronous product

The synchronous product corresponds to a composition where both components C₁ and C₂ are executed independently or jointly, depending on the input. Hence, C₁ and C₂ are simultaneously executed when triggered by a joint input i that belongs to both inputs set of C₁ and C₂.

This kind of product can also be naturally expressed in terms of the synchronous feedback operator and the cartesian product (see Figure 5). But before, we need to impose that both input and output sets of C₁ and C₂ contain a special input abs to allow components to stutter, i.e. to indicate that no progress of the component is done. The synchronous product is then defined as follows:

\[ \odot ((C₁, C₂)) = \triangleright_o ((C₀, (C₁ \otimes C₂)) \]

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where \( C_0 = (\text{init}_0, \text{init}_0, \alpha_0) \) is the component over the signature \( T((\text{In}_1 \times \text{In}_2) \times J^{\text{In}_1 \cup \text{In}_2}) \) where \( \alpha_0 \) is the transition mapping defined by: \( \forall i \in \text{In}_1 \cup \text{In}_2 \)

\[
\alpha_0(\text{init}_0)(i) = \begin{cases} 
(i, \text{init}_0) & \text{if } i \in \text{In}_1 \cap \text{In}_2 \\
(i, \text{abs}, \text{init}_0) & \text{if } i \in (\text{In}_1 \setminus \text{In}_1 \cap \text{In}_2) \\
(\text{abs}, i, \text{init}_0) & \text{otherwise}
\end{cases}
\]

\[\Theta((C_1, C_2)) = \oplus_{s}((\text{abs}, (C_0, (C_1 \otimes C_2)), C_0')) \quad (4)\]

where \( C_0 = (\text{init}_0, \text{init}_0, \alpha_0) \) is the component over the signature \( T((\text{In}_1 \times \text{In}_2) \times J^{\text{In}_1 \cup \text{In}_2}) \times J^{\text{In}_1 \times \text{In}_2}) \) where \( \alpha_0 \) is the transition mapping defined by: \( \forall i \in \text{In}_1 \cup \text{In}_2 \cup \text{In}_1 \times \text{In}_2 \)

\[
\alpha_0(\text{init}_0)(i) = \begin{cases} 
(i, \text{init}_0) & \text{if } i \in \text{In}_1 \times \text{In}_2 \\
(i, \text{abs}, \text{init}_0) & \text{if } i \in \text{In}_1 \\
(\text{abs}, i, \text{init}_0) & \text{otherwise}
\end{cases}
\]

\[\text{Here also we need to impose that abs} \in \text{In}_1 \cap \text{In}_2.\]
Indeed, for a complex operator of the form $\bigotimes \circ p$, it is always possible to yield a component for a complex operator from any set of basic components passed as arguments.

5. Systems and compositionality

5.1. Systems

Complex operators for basic components yield larger components that we will call systems. However, it is not always possible to yield a component for a complex operator from any set of basic components passed as arguments. Indeed, for a complex operator of the form $\bigotimes \circ p$, according to the component $C$ resulting from the evaluation of $\circ o p$, the interface $I$ has to be defined over the signature of $C$ and the feedback over $C$ has to be well-formed over $I$. This leads up to the following definition:

**Definition 5.1 (Systems).** Let $C$ be a set of components. The set of systems over $C$ is inductively defined as follows:

- for any $C \in C$, a component over a signature $H$, $\underline{C} = C$ is a system over the signature $H$ and $\underline{C}$ is defined for $C$;

- if $\circ o p_1 \otimes \circ o p_2$ is a complex operator of arity $n = n_1 + n_2$ then for every sequence $(C_1, C_2, \ldots, C_n)$ of components in $C$ with each $C_i$ over $H_i = T(O_i \times \mathcal{F}^i)$, if both $\circ o p_1$ and $\circ o p_2$ are defined for $C_1, C_2, \ldots, C_n$ and $C_{n+1}, \ldots, C_k$ respectively, then $\circ o p_1 \otimes \circ o p_2(C_1, \ldots, C_n) = \circ o p_1(C_1, \ldots, C_n) \otimes \circ o p_2(C_{n+1}, \ldots, C_k)$ is a system over $H = T(\prod_{i=1}^n O_i \times \mathcal{F}^i)$ and $\circ o p_1 \otimes \circ o p_2$ is defined for $(C_1, \ldots, C_n)$, else $\circ o p_1 \otimes \circ o p_2$ is undefined for $(C_1, \ldots, C_n)$;

- if $\bigodot \circ f(\circ o p)$ is a complex operator of arity $n$, then for every sequence $(C_1, \ldots, C_n)$ of components in $C$, if $\circ o p$ is defined for $(C_1, \ldots, C_n)$ with $S = \circ o p(C_1, \ldots, C_n)$ is over $H$ and $I$ is a feedback interface over $H$ and the feedback composition of $S$ is well-formed, then $\bigodot \circ f(\circ o p)(C_1, \ldots, C_n) = \bigodot \circ f(S)$ is a system over $H'$ and $\bigodot \bigcirc f(\circ o p)$ is defined for $(C_1, \ldots, C_n)$, else $\bigodot \bigcirc f(\circ o p)$ is undefined for $(C_1, \ldots, C_n)$.

- if $\leftrightarrow \circ f(\circ o p)$ is a complex operator of arity $n$, then for every sequence $(C_1, \ldots, C_n)$ of components in $C$, if $\circ o p$ is defined for $(C_1, \ldots, C_n)$ with $S = \circ o p(C_1, \ldots, C_n)$ is over $H$ and $I$ is a feedback interface over $H$, then $\leftrightarrow \circ f(\circ o p)(C_1, \ldots, C_n) = \leftrightarrow \circ f(S)$ is a system over $H'$ and $\leftrightarrow \bigcirc f(\circ o p)$ is defined for $(C_1, \ldots, C_n)$, else $\leftrightarrow \bigcirc f(\circ o p)$ is undefined for $(C_1, \ldots, C_n)$.

$^9H'$ is the signature of the synchronous feedback.

$^{10}H'$ is the signature of the relaxed feedback.
From Proposition 4.1 and Proposition 4.2, it is not difficult to see that any complex operator \( op \) of arity \( n \) defines a partial functor from \( \text{Comp}(H_1) \times \ldots \times \text{Comp}(H_n) \rightarrow \text{Comp}(H) \).

**Example 5.1.** An encoder/decoder is usually used to guarantee certain characteristics (for example, error detection) when transmitting data across a link. A simple example of such an encoder/decoder is represented in Figure 7. It consists of two parts:

- An encoder that takes in incoming a bit sequence and produces an encoded value which is then transmitted on the link. This encoder is considered as a component \( E = (\{s_0, s_1\}, s_0, \alpha_1) \) where the transition function \( \alpha_1 : \{s_0, s_1\} \rightarrow (0, 1) \times \{s_0, s_1\} \) is graphically shown in the left of Figure 7.

- A decoder that takes the values from the link and produces the original value. This decoder is considered as a component \( D = (\{q_0, q_1\}, q_0, \alpha_2) \) where the transition function \( \alpha_2 : \{q_0, q_1\} \rightarrow (0, 1) \times \{q_0, q_1\} \) is graphically shown in the right of Figure 7.

![Diagram](image-url)

**Figure 7:** Encoder (on the left) and Decoder (on the right)

Let us now construct the encoder/decoder as a composition of the encoder and the decoder by means of the sequential composition over a synchronous feedback. First of all, let us apply the sequential composition \( \triangleright_s \) over the synchronous feedback interface \( I \) defined for every \((i, i'), (o, o') \in \text{In}_1 \times \text{In}_2 \) and \((o, o') \in \text{Out}_1 \times \text{Out}_2 \) by:

\[
f((i, i'), (o, o')) = (i, o), \quad \pi_2((i, i')) = i \quad \text{and} \quad \pi_0((o, o')) = o'
\]

We first define the cartesian product \( C = \otimes(\mathcal{E}, \mathcal{D}) \) of \( \mathcal{E} \) and \( \mathcal{D} \). It is easy to see that \( C \) is well-formed feedback composition over \( I \). Let us check this for \((s_0, q_0)\), we then have:

- \((0, 0) \in \eta'(\alpha C((s_0, q_0))(f((0, 0), (0, 0))))\)
- \((1, 1) \in \eta'(\alpha C((s_0, q_0))(f((1, 1), (1, 1))))\)
- \((0, 0) \in \eta'(\alpha C((s_0, q_0))(f((0, 1), (0, 0))))\)
- \((1, 1) \in \eta'(\alpha C((s_0, q_0))(f((1, 0), (1, 1))))\)

Then, we can apply the synchronous feedback operator \( \odot_T \) on \( C \). This leads to a minimal component \( \langle\langle \mathcal{F} \rangle\rangle \) where \( \mathcal{F} : [0, 1]^{\omega} \rightarrow [0, 1]^{\omega} \) is the transfer function defined for every \( x \in [0, 1]^{\omega} \) and every \( k, 0 \leq k < \omega \), by \( \mathcal{F}(x)(k) = x(k) \).

Let us explain how \( \mathcal{F} \) was obtained using a running example. For this, let us consider the bit sequence \((01)^{\omega}\), and try to find a bit sequence \( y \in [0, 1]^{\omega} \) that satisfies:

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\[ \exists (s_0, \ldots, s_k, \ldots) \in S \mid \forall n, 0 \leq n < \omega, \ y(n) \in \eta'_{OutC}(\alpha_C((s_n,f(x(n),y(n))))) \]

Let us suppose that the current state and the current input are the initial state \( s(n) = (s_0, q_0) \) and \( x(n) = (0, 0) \) respectively. There is a \( y(n) = (0, 0) \) such that

\[ (0, 0) \in \eta'_{OutC}(\alpha_C((s_0, q_0))(f((0, 0), (0, 0)))) \]

That is to say, the component \( C \) reacts by updating its state to \( (s_0, q_0) \) and producing the output \( (0, 0) \). More precisely, the output of \( E \) becomes the input of \( D \). So, we can conclude that the input of the encoder/decoder is \( \pi_e(0, 0) = 0 \) and its output is \( \pi_o(0, 0) = 0 \).

Suppose next that the current input is \( (1, 1) \). Again, there is a \( y(n) = (1, 1) \) such that

\[ (1, 1) \in \eta'_{OutC}(\alpha_C((s_0, q_0))(f((1, 1), (1, 1)))) \]

That is to say, the component \( C \) reacts by updating its state to \( (s_1, q_1) \) and producing the output \( (1, 1) \). So, we can conclude that the input of the encoder/decoder is \( \pi_e(1, 1) = 1 \) and its output is \( \pi_o(1, 1) = 1 \).

Hence, the composite machine alternates states on each reaction and produces the output bit sequence \( (01)^\omega \) for the input bit sequence \( (01)^\omega \).

Finally, the minimal component \( \langle \{F\} \rangle \) that represents \( F \) is given by:

\[ \xrightarrow{1} 1 \xrightarrow{0} 0 \]

**Example 5.2.** We consider a simple microwave \( S \) that is built from two basic components: a "door component" \( D \) and a "engine component" \( E \). In our framework, the door component is defined as \( D = \langle (O, C), O, \alpha_D \rangle \) over the signature

\[ \langle \{\text{open}, \text{closed}\} \times \_ \rangle^{[\text{open}, \text{close}]} \]

and the engine component as \( M = \langle (S, R), S, \alpha_E \rangle \) over the signature

\[ \langle \{\text{running}, \text{stopped}\} \times \_ \rangle^{[\text{start}, \text{stop}]} \]

\( \alpha_D \) and \( \alpha_E \) are depicted in the left and the right sides respectively.

![Diagram of a microwave system](image)

Let us now show how the microwave system can be obtained by composition the door and the engine components using our basic integration operators. First of all, we apply the cartesian product to \( D \) and \( E \). This leads to a new component \( C = \otimes(D, E) \) that is illustrated in Figure 8. We can easily see that:

- the microwave cannot run if the door is opened
- and opening the door implies the running stop.

Thus, there is a synchronous feedback that is the output "open" is returned as an input of the system. Then, we apply the synchronous feedback operator \( \otimes' \) to \( C \) over the signature \( I = \langle f, \pi_i, \pi_o \rangle \) defined by:

- \( \pi_i \) as the function that restricts the set \( \{\text{start}, \text{stop}, \epsilon\} \times \{\text{open}, \text{close}, \epsilon\} \) of inputs of \( C \) to the set \( \{\epsilon, \epsilon\} \cup \{(i, \epsilon) \mid i \in \{\text{close, open}\}\} \cup \{(\epsilon, i) \mid i \in \{\text{start, stop}\}\} \)

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\[ \pi_o, \text{ as the identity on the set } \{\text{running, stopped}\} \times \{\text{opened, closed}\} \text{ of outputs of } C \]

\[ f \text{ as the function defined as follows:} \]
\[ f : (\{\text{open, close, } \epsilon\} \times \{\text{start, stop, } \epsilon\}) \times (\{\text{opened, closed}\} \times \{\text{running, stopped}\}) \rightarrow \{\text{start } \land \neg\text{opened, stop } \lor\text{opened, open, close}\} \]

This leads to the component\textsuperscript{11} illustrated in Figure 10.

Let us explain how this component was obtained using a running example. For this, let us consider the infinite input sequence
\[ x = ((\text{close, } \epsilon), (\epsilon, \text{start}), (\text{open, } \epsilon))^\omega \]
and try to find an infinite output sequence \( y \) that satisfies:
\[ \exists (s_0, \ldots, s_k, \ldots) \in S_C | \forall n, 0 \leq n < \omega, \ y(n) \in \eta'(\alpha_{C}(s_n)(f(x(n), y(n))))_i \]
Let us suppose that the current state and the current input are the initial state \( s(n) = (O, S) \) and \( x(n) = (\text{close, } \epsilon) \) respectively. There is a \( y(n) = (\text{closed, stopped}) \) such that
\[ (\text{close, } \epsilon) \]
\[ (\text{closed, stopped}) \in \eta'(\alpha_{C}((O, S))(f((\text{close, } \epsilon), (\text{closed, stopped})))) \]

\textsuperscript{11}For the sake of representation simplicity, we preferred to apply the synchronous operator \( \odot \) defined in terms of its argument as concrete coalgebras (see Definition 4.6). But, we would have had to apply the synchronous operator \( \odot_I \).
That is to say, the component $C$ reacts by updating its state to $(C, S)$ and producing the output (closed, stopped). Suppose next that the current input is $(C, S)$. Again, for the input $(ε, \text{start})$, there is a $γ(n) = \text{(closed, running)}$ such that

$$(ε, \text{start}) \in \eta'(αC((C, S))(f((ε, \text{start}), \text{(closed, running)})))$$

That is to say, the component $C$ reacts by updating its state to $(C, R)$ and producing the output (closed, running). Finally, suppose that the current input is $(C, R)$. Again, for the input (open, $ε$), there is a $γ(n) = \text{(opened, stopped)}$ such that

$$(\text{open}, \text{opened}) \in \eta'(αC((C, R))(f((\text{open}, ε), \text{(opened, stopped)})))$$

That is to say, the output opened of $D$ is fed back to the component $C$ and yields an new input (open, opened). Hence, the component $C$ reacts by updating its state to $(O, S)$ and producing the output (opened, stopped).

Figure 10: Microwave system

5.2. Compositionality

An important question we wonder about, is compositionality, i.e. is the behaviour of a system the composition of its components behaviours? In our framework, this will be expressed as follows: let $op$ be a complex operator of arity $n$, $C_1, \ldots, C_n$ be $n$ components and $C = op(C_1, \ldots, C_n)$, then

$$\text{beh}_C(\text{init}) = \overline{\text{op}}(\text{beh}_{C_1}(\text{init}_1), \ldots, \text{beh}_{C_n}(\text{init}_n))$$

(5)

where $\text{init}$ (resp. $\text{init}_i, i = 1, \ldots, n$) is the initial state of $C$ (resp. $C_i$) and $\overline{\text{op}}$ is the adaptation of $op$ on sets of transfer functions. Before establishing Equation 5, we first need to define complex operators $\overline{\text{op}}$ on behaviours. Components’ behaviours being sets of transfer functions, $\overline{\text{op}}$ has to be defined on set of transfer functions. Moreover, it has to respect the same induction structure than $op$. We have then first to adapt cartesian product and feedback on components’ behaviours.

**Definition 5.2 (Cartesian product on behaviours $⊗$).** Let $H_1 = T(\text{Out}_1 \times \text{In}_1)$ and $H_2 = T(\text{Out}_2 \times \text{In}_2)$ be two signatures. Let $Γ_1$ and $Γ_2$ be two sets of transfer functions over $H_1$ and $H_2$ respectively. Then, $Γ_1 ⊗ Γ_2$ is the set:

$$Γ_1 ⊗ Γ_2 = \{f_1 \times f_2 \mid f_1 : \text{ln}_1 \to \text{Out}_1, f_2 : \text{ln}_2 \to \text{Out}_2\}$$

It is obvious to prove that the cartesian product of two transfer functions is a transfer function.
Definition 5.3 (Relaxed feedback on transfer function). Let $H = T(\text{Out} \times \_)^\infty$ be a signature and $I = (f, \pi_i, \pi_o)$ be a feedback interface over $H$. Let $F : \text{In}^\omega \rightarrow \text{Out}^\omega$ be a transfer function. Let us define for every $x \in \text{In}^\omega$, the couple $(\hat{x}, y_x) \in \text{In}^\omega \times \text{Out}^\omega$ by induction on $\omega$ as follows:

- $\hat{x} = x(0)$ and $y_x(0) = F(x)(0)$
- $\forall n, 0 < n < \omega$, $\hat{x}(n) = f(x(n), y_x(n-1))$, $y_x(n) = F(\hat{x})(n)$ where $\hat{x} \in \text{In}^\omega$ is any dataflow such that $\forall j \leq n$, $\hat{x}(j) = \hat{x}(j)$.

Then, $\leftrightarrow_{I, I}(F) : \text{In}^\omega \rightarrow \text{Out}^\omega$ is the mapping that associates to $x' \in \text{In}^\omega$, the data flow $y' \in \text{Out}^\omega$ such that there exists $x \in \text{In}^\omega$ satisfying: $\forall i < \omega$, $x'(i) = \pi_i(\hat{x}(i))$ and $y'(i) = \pi_o(y_x(i))$.

Let us observe that Definition 5.3 is noticeably similar to Definition 4.3 except that the choice of $y_x(n)$ is unique in Definition 5.3 because it is given directly by the transfer function $F$.

$\leftrightarrow_{I, I}(F)$ needs some conditions on projections $\pi_i$ and $\pi_o$ to be a transfer function. Indeed, $\pi_i$ and $\pi_o$ are surjective but by no means they are supposed to be injective. This can then question the causality conditions of $\leftrightarrow_{I, I}(F)$. Imposing to $\pi_i$ and $\pi_o$ to be injective would lead to a too strong condition ($\pi_i$ and $\pi_o$ would then be bijective) that is seldom satisfied (e.g. the sequential composition defined in Section 4.2.1). Here, we propose a weaker condition that is satisfied by most of integration operators based on feedback (anyway all defined in the paper).

Assumption 1: $\forall x_1, x_2 \in \text{In}^\omega, \forall j, 0 \leq j \leq n,$

$$\pi_i(x_1(j)) = \pi_i(x_2(j)) \iff \begin{cases} \pi_i(F(x_1)(0)) = \pi_i(F(x_2)(0)) & \text{if } j = 0 \\ \pi_i(F(f(x_1(j), F(x_1)(j-1)))) = \pi_i(F(f(x_2(j), F(x_2)(j-1)))) & \text{otherwise} \end{cases}$$

Proposition 5.1. $\leftrightarrow_{I, I}(F) : \text{In}^\omega \rightarrow \text{Out}^\omega$ is a transfer function.

Proof. Let $F : \text{In}^\omega \rightarrow \text{Out}^\omega$ be a transfer function over $H$ and $\leftrightarrow_{I, I}(F) : \text{In}^\omega \rightarrow \text{Out}^\omega$ be the function defined in Definition 5.3. Let $x'_1, x'_2 \in \text{In}^\omega$ be two inputs dataflows for $\leftrightarrow_{I, I}(F)$ and let us prove that if for every $n, 0 \leq n \leq \omega, x'_1(n) = x'_2(n)$, then $\leftrightarrow_{I, I}(F)(x'_1(n)) = \leftrightarrow_{I, I}(F)(x'_2(n))$.

By induction over $\omega$:

- Basic Step: $n = 0$

By definition, $x'_1, x'_2 \in \text{In}^\omega$, there then exists $x_1, x_2 \in \text{In}^\omega$ such that $x'_1(0) = \pi_i(x_1(0))$ and $x'_2(0) = \pi_i(x_2(0))$, and $\leftrightarrow_{I, I}(F)(x'_1(0)) = \pi_o(F(x_1(0)))$. By hypothesis, since $x'_1(0) = \pi_i(x_1(0))$ and $x'_2(0) = \pi_i(x_2(0))$, then $\pi_i(x_1(0)) = \pi_i(x_2(0))$. Then, by Assumption 1, we have that $\pi_i(F(x_1)(0)) = \pi_o(F(x_2)(0))$. Hence, $\leftrightarrow_{I, I}(F)(x'_1(0)) = \leftrightarrow_{I, I}(F)(x'_2(0))$ which by definition equals to $\leftrightarrow_{I, I}(F)(x'_1(0))$.

- Induction Step:

By definition of $\leftrightarrow_{I, I}(F)(x'_1(n+1))$, we know there exists $(\hat{x}_1, F(\hat{x}_1)) \in \text{In}^\omega \times \text{Out}^\omega$ such that $\forall k, 1 \leq k \leq n+1, x'_1(k) = \pi_i(\hat{x}_1(k))$ and $\leftrightarrow_{I, I}(F)(x'_1(k)) = \pi_o(F(\hat{x}_1)(k))$ where $\hat{x}_1(k) = f(x(k), F(\hat{x}_1)(k-1))$.

By definition of $\leftrightarrow_{I, I}(F)(x'_2(n+1))$, we know there exists $(\hat{x}_2, F(\hat{x}_2)) \in \text{In}^\omega \times \text{Out}^\omega$ such that $\forall k, 1 \leq k \leq n+1, x'_2(k) = \pi_i(\hat{x}_2(k))$ and $\leftrightarrow_{I, I}(F)(x'_2(k)) = \pi_o(F(\hat{x}_2)(k))$ where $\hat{x}_2(k) = f(x(k), F(\hat{x}_2)(k-1))$.

By hypothesis, we know that $\forall k, 0 \leq k \leq n, x'_1(k) = x'_2(k) \iff \leftrightarrow_{I, I}(F)(x'_1(k)) \iff \leftrightarrow_{I, I}(F)(x'_2(k))$. It remains to prove that if $x'_1(n+1) = x'_2(n+1)$, then $\leftrightarrow_{I, I}(F)(x'_1(n+1)) = \leftrightarrow_{I, I}(F)(x'_2(n+1))$.

Since $\forall k, 1 \leq k \leq n+1, x'_1(k) = \pi_i(\hat{x}_1(k))$, $x'_2(k) = \pi_i(\hat{x}_2(k))$ and $x'_1(k) = x'_2(k)$, then by Assumption 1, $\forall k, 1 \leq k \leq n+1$. $\pi_o(F(\hat{x}_1)(n+1)) = \pi_o(F(\hat{x}_2)(n+1))$. This last result then yield $\leftrightarrow_{I, I}(F)(x'_1(n+1)) = \leftrightarrow_{I, I}(F)(x'_2(n+1))$. 

End
Definition 5.4 (Well-formed feedback composition for transfer functions). Let \( \mathcal{I} = (f, \pi_i, \pi_o) \) be a feedback interface over a signature \( H \). Let \( \mathcal{F} : \text{ln}^\omega \rightarrow \text{Out}^\omega \) be a transfer function. The synchronous feedback composition of \( \mathcal{F} \) over \( \mathcal{I} \) is well-formed if, and only if

\[
\forall x \in \text{ln}^\omega, \ (\forall \omega < \omega, \bar{x}(n) = f(x(n), \mathcal{F}(x)(n))) \implies \mathcal{F}(\bar{x}) = \mathcal{F}(x)
\]

Definition 5.5 (Synchronous feedback for transfer functions). Let \( \mathcal{I} = (f, \pi_i, \pi_o) \) be a feedback interface over a signature \( H \). Let \( \mathcal{F} : \text{ln}^\omega \rightarrow \text{Out}^\omega \) be a transfer function. \( \odot_{\mathcal{I}}(\mathcal{F}) : \text{ln}^\omega \rightarrow \text{Out}^\omega \) is the mapping that associates to \( x' \in \text{ln}^\omega, y' \in \text{Out}^\omega \) such that there exists \( x \in \text{ln}^\omega \) satisfying

\[
\forall i < \omega, x'(i) = \pi_i(f(x(i), \mathcal{F}(x)(i))) \text{ and } y'(i) = \pi_o(\mathcal{F}(f(x(i), \mathcal{F}(x)(i))))
\]

Similarly to \( \mapsto_{\mathcal{I}}(\mathcal{F}) \), \( \odot_{\mathcal{I}}(\mathcal{F}) \) is a transfer function if the following assumption is satisfied by \( \mathcal{F} \).

Assumption 2: \( \forall x_1, x_2 \in \text{ln}^\omega, \forall j, k \leq n, \pi_i(x_1(j)) = \pi_i(x_2(j)) \implies \pi_o(\mathcal{F}(f(x_1(j), \mathcal{F}(x_1)(j))) = \pi_o(\mathcal{F}(f(x_2(j), \mathcal{F}(x_2)(j))))

Proposition 5.2. \( \odot_{\mathcal{I}} \) is a transfer function.

Proof. The technical proof is noticeably similar to the proof given for \( \mapsto_{\mathcal{I}} \).

End

Definition 5.6 (Feedback on behaviours). Let \( \Gamma \) be a set of transfer functions over a signature \( H = T(\text{Out} \times \_)^n \). Then, \( \odot \) is the set of transfer functions:

\[
\odot \Gamma = \{ \odot \mathcal{F} | \mathcal{F} : \text{ln}^\omega \rightarrow \text{Out}^\omega \}
\]

where \( \odot \) is either \( \mapsto_{\mathcal{I}} \) or \( \odot_{\mathcal{I}} \).

Complex operators can be easily extended to behaviours by replacing in Definition 4.7, the symbols \( \odot, \mapsto_{\mathcal{I}} \) and \( \odot_{\mathcal{I}} \) by \( \odot_f, \mapsto_{\mathcal{I}} \) and \( \odot_{\mathcal{I}} \), respectively. In the following, given a complex operator on components we will note \( \sigma \mathcal{F} \) its equivalent on behaviours.

Similarly, Definition 5.1 can be easily extended to complex operators on behaviours by replacing each component \( C_i \) by a set of transfer functions \( \Gamma_i \), and \( \odot, \mapsto_{\mathcal{I}} \) or \( \odot_{\mathcal{I}} \) by \( \odot_f, \mapsto_{\mathcal{I}} \) and \( \odot_{\mathcal{I}} \), respectively.

Theorem 5.3 (Compositionality). Let \( \text{op} \) be a complex operator on components of arity \( n \). Let \( C_1, \ldots, C_n \) be \( n \) components. If \( \mathcal{C} = \text{op}(C_1, \ldots, C_n) \), then

\[
\text{beh}_C(\text{init}) = \sigma \mathcal{F}(\text{beh}_{C_1}(\text{init}_1), \ldots, \text{beh}_{C_n}(\text{init}_n))
\]

Proof. In order to prove this theorem, we need to prove the following lemmas:

Lemma 2. Let \( C_1 \) and \( C_2 \) be two components over \( H_1 = T(\text{Out}_1 \times \_)^n \) and \( H_2 = T(\text{Out}_2 \times \_)^n \). Let \( C = \odot(C_1, C_2) \) be the product component over \( H = T((\text{Out}_1 \times \text{Out}_2) \times \_)^n \). Then we have:

\[
\text{beh}_{C, \odot_{\mathcal{I}}}(\text{init} \langle \text{init}_1, \text{init}_2 \rangle) = \text{beh}_{C_1}(\text{init}_1) \odot_{\mathcal{I}} \text{beh}_{C_2}(\text{init}_2)
\]

Proof. By definition, \( \text{beh}_{C, \odot_{\mathcal{I}}}(\text{init} \langle \text{init}_1, \text{init}_2 \rangle) \) contains all the transfer functions \( \mathcal{F} : (\text{ln}_1 \times \text{ln}_2)^\omega \rightarrow (\text{Out}_1 \times \text{Out}_2)^\omega \) that associates to every \((x_1, x_2) \in \text{ln}_1 \times \text{ln}_2, (y_1, y_2) \in \text{Out}_1 \times \text{Out}_2\) such that there exists an infinite sequence \((o_{11}, o_{21}), (s_{11}, s_{21}), \ldots \in (\text{Out}_1 \times \text{Out}_2) \times (S_1 \times S_2)\) satisfying:
∀ j ≥ 1, ((a_1j, a_2j), (s_1j, s_2j)) ∈ \eta^C_{Out × S_j}α((s_1j−1, s_2j−1))(x_1(j−1), x_2(j−1))

with (s_10, s_20) = (init_1, init_2), and for every k < ω, y_i(k) = a_i for i = 1, 2.
Hence, for i = 1, 2, there exists an infinite sequence (a_11, s_11), ..., ∈ Out_i × S_i satisfying

∀ j ≥ 1, (a_1j, s_1j) ∈ \eta^C_{Out × S_j}(α_i(s_1j−1))(x_1(j−1))

We can then define a transfer function F_i : x_1 → y_i. Hence F = F_1 ⊗_f F_2 and then F ∈ beh_C(init_1) ⊗_f beh_C(init_2).
By following the same reasoning, we can show that given F_i ∈ beh_C(init_1), F_1 ⊗_f F_2 ∈ beh_C(init_1, init_2).

End

Lemma 3. Let C’ be a component over \( H = T(Out \times \omega)^\omega \) and C = \( \triangleright \rightarrow_{f}(C’) \) be a component over \( H = T(Out \times \omega)^\omega \).
Let I = (f, π, π_0) where f : ln’ × Out’ → ln’, π : ln’ → ln and π_0 : Out’ → Out be a feedback interface. Then we have:

\[ \text{beh}_{\triangleright \rightarrow_{f}(C’)(init)} = \triangleright \rightarrow_{f}(\text{beh}_{C}(init’)) \]

where init is the initial state of C = \( \triangleright \rightarrow_{f}(C’) \).

Proof. Let F ∈ beh_{\triangleright \rightarrow_{f}(C’)(init)}. By definition, F associates to x’ ∈ ln’ and y’ ∈ Out’ (when such y’ exists) such that there exists x ∈ ln’ and (\hat{x}, y_\hat{x}) ∈ ln’ × Out’ satisfying

\[ \forall i < \omega, x_\hat{x}(i) = π_i(\hat{x}(i)) \text{ and } y_\hat{x}(i) = π_0(y_\hat{x}(i)) \]

By definition of \( \hat{x} \) and y_\( \hat{x} \), there exists an infinite sequence (init’, s_1’, ..., s_k’) ∈ S’ such that:

- \( \hat{x} = x(0) \) and y_\( \hat{x} \)(0) ∈ \( \eta^{Out \times S’}_{Out \times S’}(α’(\text{init’})(\hat{x}(0))) \)
- \( \forall n, 0 < n < \omega, \hat{x}(n) = f(x(n), y_\hat{x}(n−1)), y_\hat{x}(n) ∈ \eta^{Out \times S’}_{Out \times S’}(α’(\text{init’})(\hat{x}(n))) \).

Hence, we can extract a transfer function F’ that associates to \( \hat{x}, y_\hat{x} \) such that \( \triangleright \rightarrow_{f}(F’) = F \), and then \( \triangleright \rightarrow_{f}(F’) ∈ \triangleright \rightarrow_{f}(\text{beh}_{C}(init’)) \).

To prove the other inclusion, we can follow the same reasoning.

End

Lemma 4. Let C’ be a component over \( H = T(Out \times \omega)^\omega \) and C = \( \triangleright \rightarrow_{f}(C’) \) be a component over \( H = T(Out \times \omega)^\omega \).
Let I = (f, π, π_0) where f : ln’ × Out’ → ln’, π : ln’ → ln and π_0 : Out’ → Out be a feedback interface. Then we have:

\[ \text{beh}_{\triangleright \rightarrow_{f}(C’)(init)} = \triangleright \rightarrow_{f}(\text{beh}_{C}(init’)) \]

where init is the initial state of C = \( \triangleright \rightarrow_{f}(C’) \).

Proof. The technical proof is similar to the proof given for \( \triangleright \rightarrow_{f} \).

End

Now, Theorem 5.3 is proven by induction on the structure of op as follows:

- **Basic Step:** op is of the form \( \_ \). Its equivalent for sets of transfer functions is also defined by \( \_\Gamma = \Gamma \). The conclusion is then obvious.
- **Induction Step:** Three cases have to be considered
that require to test behaviours that are not in the specification i.e. the implementation does not have the freedom to require to test conformance is well satisfied by both community. The reason is the objective of conformance testing is mainly to check whether the implementation behaves according to a specification, but allows behaviours on which the specification puts no constrain. The relation of a component is then the formal description of its behaviour given by a coalgebra over a signature \( H = T(\text{Out} \times \mathbb{I}) \). On the contrary, its implementation SUT (for System under Test) is an executable component, which is considered as a black box [26, 27]. We interact with the implementation through its interface, by providing inputs to stimulate it and observing its behaviour through its outputs.

The theory of conformance testing defines the conformance of an implementation to a specification thanks to conformance relations. Several kinds of relations have been proposed. For instance, the relations of testing equivalence and preorders [28, 29] require the inclusion of trace sets. The relation \( \text{conf} \) [30] requires that the implementation behaves according to a specification, but allows behaviours on which the specification puts no constrain. The relation \( \text{ioco} \) [15] is similar to \( \text{conf} \), but distinguished inputs from outputs. There are many other types of relations [31, 32].

As already indicated, \( \text{ioco} \) as well as \( \text{conf} \) have received much attention by the community of formal testing community. The reason is the objective of conformance testing is mainly to check whether the implementation behaves as required by the specification i.e. to check if the implementation does what it should do. Hence, a conformance relation has to allow implementations not only to do what is specified, but also to do more than what is specified. This requirement of testing conformance is well satisfied by both \( \text{conf} \) and \( \text{ioco} \) contrary to other relations [28, 29, 31, 32] that require to test behaviours that are not in the specification i.e. the implementation does not have the freedom to produce outputs for any input not considered in the specification.

---

6. Testing of abstract components

6.1. Conformance Relation

In order to be able to compare the behaviours of the implementation and of its specification, we need to consider both as components over a same signature. However, the implementation behaviour is unknown and can only be observed through its interface. We therefore need a conformance relation between what we can observe on the implementation and what the specification allows. The specification \( \text{Spec} \) of a component is then the formal description of its behaviour given by a coalgebra over a signature \( H = T(\text{Out} \times \mathbb{I}) \). On the contrary, its implementation SUT (for System under Test) is an executable component, which is considered as a black box [26, 27]. We interact with the implementation through its interface, by providing inputs to stimulate it and observing its behaviour through its outputs.

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Since we are dealing with components with input and output, we choose ioco and extend it to fit our framework. There are several extensions to ioco according to both the type of transition system and the aspect considered to be tested. For instance, sioco for symbolic transition systems [9], sioco for input-output symbolic transition systems [16], tioco for timed labeled transition systems [33], cspio for CS P process algebra [34], dioco for distributed systems [35], uicoco for hybrid system [36].

Hence, we define the ioco relation that we will call here cioco\(^{12}\) in terms of components as defined in Definition 2.3. We make some modifications to the original definition of ioco to fit our component definition. That is, instead of considering that after each trace tr of a specification Spec, the possible outputs of the corresponding implementation SUT after processing tr is a subset of the possible outputs of Spec, we consider that for all sequences of inputs considered in Spec, SUT does not produce a sequence of outputs that is not allowed by the specification Spec. A specification of a component as well as its implementation model are considered as coalgebras over a signature $H = T(\text{Out} \times \alpha)^n$.

The formal definition of cioco uses the two following definitions:

**Definition 6.1 (Component finite traces).** Let $F \in \text{beh}_C(\text{init})$ be a trace of a component $C$. Let $n \in \mathbb{N}$. The finite trace of length $n$, noted $F_n$, associated to $F$ is the whole set of the finite sequence $(i_0|o_0, \ldots, i_n|o_n)$ such that there exists $s \in \ln$ where for every $j, 0 \leq j \leq n, x(j) = i_j$ and $F(x(j)) = o_j$.

Hence, $\text{Trace}(C) = \bigcup_{F \in \text{beh}_C(\text{init})} F_n$, defines the whole set of finite traces over $C$.

**Definition 6.2.** Let $C$ be a component over $T(\text{Out} \times \alpha)^n$. Let $tr$ be a finite trace of $C$ and $i \in \ln$. The set of the possible outputs for the input $i$ after executing $tr$ on $C$ is:

$$\text{Out}(C \text{ after } (tr, i)) = \{o \mid tr.(i|o) \in \text{Trace}(C)\}$$

**Definition 6.3 (cioco).** Let $\text{Spec}$ be a component over the signature $T(\text{Out} \times \alpha)^n$ and SUT be its implementation defined as a component\(^{13}\) over $T(\text{Out'} \times \alpha)^n$ such that $\ln \subseteq \ln'$ and $\text{Out} \subseteq \text{Out'}$ and SUT is input-enabled. SUT is said cioco Spec, noted SUT cioco Spec, if and only if:

$$\forall tr \in \text{Trace}(\text{Spec}), \forall i \in \ln, \text{Out}(\text{SUT after } (tr, i)) \subseteq \text{Out}(\text{Spec after } (tr, i))$$

6.2. Test Purpose

In order to guide the test derivation process, test purposes can be used. A test purpose is a description of the part of the specification that we want to test and for which test cases are to be generated. In [13, 37] test purposes are described independently of the model of the specification. On the contrary, following [10], we prefer to describe test purposes by selecting the part of the specification that we want to explore. We therefore consider a test purpose as a tagged finite computation tree FCT of the specification. The leaves of the FCT which correspond to paths that we want to test are tagged accept. All internal nodes on such paths are tagged skip, and all other nodes are tagged $\emptyset$.

**Definition 6.4 (C-path).** Let $(S, s_0, \alpha)$ be a component over $T(\text{Out} \times \alpha)^n$. A C-path is defined by two finite sequences of states and inputs $(s_0, \ldots, s_n)$ and $(i_0, \ldots, i_{n-1})$ such that for every $j, 1 \leq j \leq n, s_j \in S_{\text{beh}}(\alpha(s_{j-1})(i_{j-1}))$.

**Definition 6.5 (Finite computation tree of a component).** Let $(S, s_0, \alpha)$ be a component over $T(\text{Out} \times \alpha)^n$. The finite computation tree of depth $n$ of $C$, noted $\text{FCT}(C, n)$ is the coalgebra $(S_{\text{FCT}}, i^{0}_{\text{FCT}}, \alpha_{\text{FCT}})$ defined by:

- $S_{\text{FCT}}$ is the whole set of $C$-paths

\(^{12}\)c for component.

\(^{13}\)Classically in conformance testing, it is assumed that (1) the implementation is input-enabled i.e. it accepts all inputs at all times in order to produce, at any state, answers for all possible inputs providing by the environment. (2) the alphabet of inputs and outputs of Spec and SUT are compatible i.e. $\ln \subseteq \ln'$ and $\text{Out} \subseteq \text{Out'}$ in order to allow the specification Spec to accept all responses of the implementation SUT.
Definition 6.6 (Test Purpose). Let $FCT(C,n)$ be the finite computation tree of depth $n$ associated to a component $C$. A test purpose $TP$ for $C$ is a mapping $TP: S_{FCT} \rightarrow \{\text{accept, skip, } \odot\}$ such that:

- there exists a $C$–path $p \in S_{FCT}$ such that $TP(p) = \text{accept}$
- if $TP((s_0, \ldots, s_n, (i_0, \ldots, i_{n-1}))) = \text{accept}$, then:
  $$\forall j, 1 \leq j \leq n - 1, TP((s_0, \ldots, s_j, (i_0, \ldots, i_{j-1}))) = \text{skip}$$
- $TP((s_0, \ldots, s_n)) = \text{skip}$
- if $TP((s_0, \ldots, s_n, (i_0, \ldots, i_{n-1}))) = \odot$, then:
  $$TP((s_0, \ldots, s_n, s'_{n+1}, \ldots, s'_m, (i_0, \ldots, i_{n-1}, i'_n, \ldots, i'_{m-1})) = \odot$$
  for all $m > n$ and for all $(s'_j)_{j \leq m}$ and $(i'_k)_{k \leq m}$

Example 6.1. Figure 11 gives a test purpose $TP$ on the finite computation tree of depth 4 of the coffee machine $M$ whose specification is shown on Figure 1. This test purpose allows us to ignore the behaviours of $M$ related to failure and repair and to concentrate on its interaction with a user. When the machine fails and the user is refunded, we reach node $p_3$ or $p_6$ which are tagged with $\odot$. This indicates that we are not interested in further behaviour from these nodes. $p_5$ is tagged with $\text{accept}$ because it is a leaf which corresponds to an expected behaviour. All nodes leading from the root $p_0$ to this node are tagged with $\text{skip}$ because they are valid prefixes of $p_5$.

In order to build a test purpose on a finite computation tree, we therefore choose the leaves of the tree that we accept as correct finite behaviours and we tag them with $\text{accept}$. We then tag every node which represents a prefix of an accepted behaviour with $\text{skip}$. The other nodes which lead to behaviours that we do not want to test, are tagged with $\odot$.

In the following, we use the notation $TP$ to refer to an arbitrary test purpose.
7. Test generation guided by test purposes

Similarly to [10], we propose an approach for test cases selection according to a test purpose. In order to test the conformance of an SUT to its specification, we start from the root of a test purpose, we choose a possible input $i$ and submit it to the SUT. We observe the outputs $o$ and compare them with the possible outputs in the finite computation tree. If the outputs do not match the specification, the verdict of the test is FAIL. Otherwise, if at least one of the nodes which can be reached with $i|o$ is tagged skip in the test purpose, the test goes on. If the nodes are tagged $\ominus$, then behaviour is not of interest and the test is said inconclusive (INCONC verdict). If one of the nodes is tagged accept, then the test succeeds (PASS verdict). It may happen, due to the non-determinism of the specification, that the implementation can reach from a given state both an accept state and a $\ominus$ state. That means we are not sure to have achieved the test purpose (WeakPASS verdict).

7.1. Preliminaries

In this section, we introduce some notations and definitions that will be used in describing our algorithm for generating conformance tests for components.

As mentioned above, a test case is a sequence generated by a test purpose $TP$ interacting with SUT. This is denoted by $[ev_0, ev_1, \ldots, ev_n][V]$, where for all $j \in \{0, \ldots, n\}, ev_j = i|o$ is an elementary input-output with $i \in \text{In} \cup \{\bot\}, o \in \text{Out} \cup \{\bot\}$, and $V \in \{\text{FAIL, PASS, INCONC, WeakPASS}\}$. We have added the special symbol $\bot$ to the input and output actions to denote a stimulation of SUT without input and the absence of output for a stimulation. We denote by stimobs($i|o$) the output $o$ from SUT when stimulating it with input $i$.

In order to compute the set of reachable states that lead to accept states after a given input-output sequence, we define a current set of states denoted by $CS$ that contains a subset of the states of the test purpose. It is initialized to the initial state of $TP$. We also introduce three functions to help exploring $TP$ by selecting paths that lead to accept states. $\text{Next}(CS, ev)$ is the set of directly reachable states from the current set of states $CS$ after executing $ev$. $\text{NextSkip}(CS, ev)$ and $\text{NextPass}(CS, ev)$ are the set of states in $\text{Next}(CS, ev)$ which are labelled by skip and accept respectively.

**Definition 7.1.** Let $TP : S_{FCT} \rightarrow \{\text{accept, skip, } \ominus\}$ be a test purpose for a component $C$, $ev = \langle i|o \rangle$ an event, and $S'$ a subset of $S_{FCT}$:

- $\text{Next}(S', ev) = \bigcup_{s \in S'} \{s | (o, s) \in \eta'_{\text{Out}}\text{Out}_{S_{FCT}}(\alpha_{S_{FCT}}(s')(i))\}$,
- $\text{NextSkip}(S', ev) = \text{Next}(S', ev) \cap TP(S')_{\text{skip}}$,
- $\text{NextPass}(S', ev) = \text{Next}(S', ev) \cap TP(S')_{\text{accept}}$.

with $TP(S')_{\text{tag}} = \{s' | s' \in S' \land TP(s') = \text{tag}\}$

7.2. Inferences rules

We define our test case generation algorithm as a set of inferences rules. Each rule states that under certain conditions on the next observation of output action from SUT or the next stimulation of SUT by an input action, the algorithm either performs an exploration of other states of $TP$, or stops by generating a verdict.

We structure these rules as $CS_{\text{Results}} \text{cond}(ev)$, where $CS$ is a set of current states, $\text{Results}$ is either a set of current states or a verdict, and $\text{cond}(ev)$ is a set of conditions including stimobs($ev$). Each rule must be read as follows: Given the current set of states $CS$, if $\text{cond}(ev)$ is satisfied, then the algorithm may achieve a step of execution, with $ev$ as input-output elementary sequence.

Our algorithm can be seen as an exploration of the finite computation tree starting from the initial state. It switches between sending stimuli to the implementation and waiting for output of the implementation according to the inference rules as long as a verdict is not reached. We distinguish two kinds of inference rules: exploring rules and diagnosis rules. The first kind is applied to pursue the computation of the sequence as long as Result is a set of states. The second kind leads to a verdict and stops the algorithm.

**Rule 0.** Initialization rule$^{14}$: $\frac{i|o}{S_{FCT}}$

---

$^{14}$This rule is involved only once when starting the algorithm.
**Rule 1.** Exploration of other states: the emission \( o \) after a stimulation by \( i \) on the SUT is compatible with the test purpose but no accept is reached.

\[
\frac{CS}{\text{Next}(CS, ev)} \text{stimobs}(ev), \text{NextSkip}(CS, ev) \neq \emptyset, \text{NextPass}(CS, ev) = \emptyset
\]

**Rule 2.** Generation of the verdict FAIL: the emission from the SUT is not expected with regards to the specification.

\[
\frac{CS}{\text{FAIL}} \text{stimobs}(ev), \text{Next}(CS, ev) = \emptyset
\]

**Rule 3.** Generation of the verdict INCONC: the emission from the SUT is specified but not compatible with the test purpose.

\[
\frac{CS}{\text{INCONC}} \text{stimobs}(ev), \begin{cases} \text{Next}(CS, ev) \neq \emptyset, \\
\text{NextSkip}(CS, ev) = \text{NextPass}(CS, ev) = \emptyset
\end{cases}
\]

**Rule 4.** Generation of the verdict PASS: all next states directly reachable from the set of current set are accept ones.

\[
\frac{CS}{\text{PASS}} \text{stimobs}(ev), \text{NextPass}(CS, ev) = \text{Next}(CS, ev), \text{Next}(CS, ev) \neq \emptyset
\]

**Rule 5.** Generation of the verdict WeakPASS: some of the next states are labelled by accept, but not all of them.

\[
\frac{CS}{\text{WeakPASS}} \text{stimobs}(ev), \begin{cases} \text{NextPass}(CS, ev) \subset \text{Next}(CS, ev), \\
\text{NextPass}(CS, ev) \neq \emptyset
\end{cases}
\]

**Example 7.1.** We consider the test purpose TP defined in Figure 11, and show how test cases can be obtained by applying the rules presented in Section 7.2. Let us first recall that the algorithm uses the following notation:

\[
\frac{CS}{\text{event rule} CS'}
\]

where:

- **event** denotes the current element of the considered trace, and is of the form input|output;
- **rule** stands for the rule applied to get the next set of states \( CS' \).

**FAIL:** To get the verdict FAIL, we consider the following trace:

\[
[\text{coin|abs, coffee|served, coin|refund} \mid \text{FAIL}]
\]

The algorithm is applied as follows:

\[
\text{rule 0} \quad CS_0 = \{p_0\} \quad \text{rule 1} \quad CS_1 = \{p_1\} \quad \text{rule 1} \quad \text{rule 2} \quad CS_2 = \{p_2\} \quad \text{rule 2} \quad \text{FAIL}
\]

The verdict FAIL is due to the following equality:

\[
\text{Next}(CS_2, \text{coin|refund}) = \emptyset
\]

**INCONC:** To get the verdict INCONC, we consider the following trace:

\[
[\text{coin|abs, coffee|served, coin|abs, coffee|refund} \mid \text{INCONC}]
\]

The algorithm is applied as follows:

\[
\text{rule 0} \quad CS_0 = \{p_0\} \quad \text{rule 1} \quad CS_1 = \{p_1\} \quad \text{rule 1} \quad \text{rule 1} \quad \text{rule 3} \quad CS_2 = \{p_2\} \quad \text{rule 3} \quad \text{rule 3} \quad \text{INCONC}
\]

The verdict INCONC is due to the following two equalities:
• \( \text{Next}(CS_3, \text{coffee}\mid \text{refund}) = \{p_{11}\} \neq \emptyset \)

• \( \text{NextPass}(CS_3, \text{coffee}\mid \text{refund}) = \text{NextSkip}(CS_3, \text{coffee}\mid \text{refund}) = \emptyset \)

**Pass:** To get the verdict **PASS**, we consider the following trace:

\[
[\text{coin}\mid \text{abs}, \text{coffee}\mid \text{served}, \text{coin}\mid \text{abs}, \text{coffee}\mid \text{served}] \Rightarrow \text{PASS}
\]

The algorithm is applied as follows:

\[
\text{rule } 0 \quad \text{CS}_0 = \{p_0\} \quad \text{coin}\mid \text{abs} \quad \text{rule 1} \quad \text{CS}_1 = \{p_1\} \quad \text{coffee}\mid \text{served} \quad \text{rule 1} \quad \text{CS}_2 = \{p_2\} \quad \text{coin}\mid \text{abs} \quad \text{rule 1} \quad \text{CS}_3 = \{p_4\} \quad \text{coffee}\mid \text{served} \quad \text{rule 4} \quad \text{PASS}
\]

The verdict **PASS** is due to the following equality:

\[
\text{NextPass}(CS_3, \text{coffee}\mid \text{served}) = \text{Next}(CS_3, \text{coffee}\mid \text{served}), \quad \text{Next}(CS_3, \text{coffee}\mid \text{served}) \neq \emptyset
\]

**WeakPASS** There is no test cases ending by the verdict **WeakPASS** for TP.

Let us note here that each of these rules except rule 0 can be used in several ways according to the form of \( ev \). When \( ev = \bot_o \), \( o \) is produced spontaneously by SUT. When \( ev = i\bot_i \), the stimulation of SUT with \( i \) does not produce any output. Finally, when \( ev = i\bot_i \), \( o \) is produced by SUT when it is stimulated with \( i \). These possibilities for \( ev \) therefore give rise to a generic algorithm that can be applied to a wide variety of state-based systems ([13, 10, 38]) by choosing the appropriate monad \( T \) and input and output sets.

7.3. Properties

A test case informs us about the conformance of the implementation to its specification. This means that the non-existence of a FAIL verdict leads to a conformance, and that any non-conformance should be detected by a test case ending by a FAIL verdict. In order to study the coherence between the notion of conformance applied to an implementation under test and its specification, and the notion of test case generated by our algorithm, we denote by \( CS \) and \( EV \) respectively the whole set of current state sets and the whole set of input-output elementary sequences used during the application of the set of inference rules on an implementation SUT according to a test purpose TP.

We then introduce a transition system whose states are the sets of current states and four special states labelled by the verdicts. Two states are linked by a transition labelled by an input-output elementary sequence. This transition system is formally defined as follows:

**Definition 7.2 (Execution).** Let TP be a test purpose for a specification Spec, let SUT be an implementation, let \( CS \) be the whole set of current state sets and let \( EV \) be the whole set of input-output elementary sequences. Then, the execution of the test generation algorithm on SUT according to TP denoted by TS(TP, SUT) (see its explanation in Section 7.2) is the coalgebra \( (S_{TS}, \alpha_{TS}) \) over the signature \( ( \bigwedge_{EV} ) \) defined by:

- \( S_{TS} = CS \cup \emptyset \) where \( \emptyset \) is the set whose elements are FAIL, PASS, INCONC and WeakPASS,

- \( \alpha_{TS} \) is the mapping which for every \( CS \in CS \) and for every \( ev \in EV \) is defined as follows:

\[
\alpha_{TS}(CS)(ev) = \begin{cases} 
\text{Next}(CS, ev) & \text{if } \text{NextSkip}(CS, ev) \neq \emptyset, \text{NextPass}(CS, ev) = \emptyset \\
\text{FAIL} & \text{if } \text{Next}(CS, ev) = \emptyset \\
\text{INCONC} & \text{if } \text{NextSkip}(CS, ev) = \text{NextPass}(CS, ev) = \emptyset \quad \text{and } \text{Next}(CS, ev) \neq \emptyset \\
\text{PASS} & \text{if } \text{Next}(CS, ev) = \text{NextPass}(CS, ev) \quad \text{and } \text{Next}(CS, ev) \neq \emptyset \\
\text{WeakPASS} & \text{if } \text{NextPass}(CS, ev) \nsubseteq \text{Next}(CS, ev) \quad \text{and } \text{NextPASS}(CS, ev) \neq \emptyset 
\end{cases}
\]
With this definition, test cases are sets of possible traces which can be observed during an execution of $TS(TP, SUT)$, and lead to a verdict state.

**Definition 7.3 (Test case).** Let $TS(TP, SUT) = (S_{TS}, \alpha_{TS})$ be the execution of the test generation algorithm on SUT according to $TP$. A test case for $TP$ is a sequence $[ev_0, \ldots, ev_n]$ for which there is a sequence of states $s_0, \ldots, s_n \in CS$ with $\forall j, 0 \leq j < n, s_{j+1} = \alpha_{TS}(s_j)(ev_j)$, and there is a verdict state $V \in \mathbb{V}$ such that $V = \alpha_{TS}(s_n)(ev_n)$.

We note $st(TP, SUT)$ the set of all possible test cases for $TP$.

We can now introduce the notation:

$$vd(TP, SUT) = \{V \mid \exists ev_0, \ldots, ev_n. [ev_0, \ldots, ev_n] \in st(TP, SUT)\}$$

**Theorem 7.1. (Correctness and completeness)** For any specification $Spec$ and any SUT:

- **Correctness:** If SUT conforms to Spec, then for any test purpose TP, $FAIL \notin vdt(TP, SUT)$.
- **Completeness:** If SUT does not conform to Spec, then there exists a test purpose TP such that $FAIL \in vdt(TP, SUT)$.

**Proof.**

Proof of the correctness: Let $Spec = (S, s_0, \alpha)$ be a specification over a signature $H = T(\text{Out} \times \_)^n$ and $FCT = (S_{FCT}, \alpha_{FCT})$ be its finite computation tree. Let us prove the correctness using the contraposition principle. This means that to prove:

if SUT conforms to Spec, for any test purpose TP, $FAIL \notin vdt(TP, SUT)$.

we have to prove:

if there exists a test purpose TP such that $FAIL \in vdt(TP, SUT)$, then SUT does not conform w.r.t cioco to Spec.

More precisely, according to the definition of cioco, we have to prove that:

there exists a finite trace $tr \in Trace(FCT)$, an input $i \in \text{In}$ such that

$$Out_{SUT}(SUT \text{ after } (tr, i)) \not\subseteq Out_{FCT}(FCT \text{ after } (tr, i))$$

This is proved by the following proposition:

**Proposition 7.2.** If there exists a test purpose TP such that $[i_0|o_0, \ldots, i_n|o_n|FAIL] \in st(TP, SUT)$, then:

1. $[i_0|o_0, i_1|o_1, \ldots, i_{n-1}|o_{n-1}] \in Trace(FCT)$.
2. $i_n \notin \text{In}$
3. $o_n \in Out_{SUT}(SUT \text{ after } ([i_0|o_0, \ldots, i_{n-1}|o_{n-1}], i_n))$.
4. $o_n \notin Out_{Spec}(Spec \text{ after } ([i_0|o_0, \ldots, i_{n-1}|o_{n-1}], i_n))$.

First of all, let us denote $[i_0|o_0, \ldots, i_{n-1}|o_{n-1}]$ by $\langle ev_0 \ldots ev_{n-1} \rangle$.

**Proof of (1).**

In order to show that the sequence $[i_0|o_0, \ldots, i_{n-1}|o_{n-1}] \in Trace(FCT)$, we are going to reason on the way of computation of this sequence by using the inference rules. First of all, let $TS(TP, SUT)$ be the execution of the test generation algorithm and $st(TP, SUT)$ be the set of generated test cases. Since $[i_0|o_0, \ldots, i_n|o_n|FAIL] \in st(TP, SUT)$, then there exists for every $j, 0 \leq j < n, S_j \in CS$ such that $S_0 = \{i_0\}, S_{j+1} = \alpha_{TS}(S_j)(ev_j)$ and $FAIL = \alpha_{TS}(S_n)(ev_n)$. Hence, for every $j, 0 \leq j < n, S_{j+1}$ which equals to $\text{Next}(S_j, ev_j)$ is not empty by Definition 7.2. Hence, by Definition 7.1, for every $j, 0 \leq j < n$, every state belonging into $S_{j+1}$ is a state of $FCT$. This means that for every $j, 0 \leq j < n$, every state $s \in S_j$ is related to a state $s' \in S_{j+1}$ by $ev_j$. Then, the sequence $\langle ev_0 \ldots ev_j \ldots ev_{n-1} \rangle \in Trace(FCT)$.
Proof of the completeness:
We have that \( \langle i_0 | o_0 \ldots i_{n-1} | o_{n-1} \rangle \in \text{Trace}(FCT) \) and \( S_n \neq \emptyset \). We have that \( [i_0]o_0 \ldots i_n | o_n | \text{FAIL} \in st(TP, SUT) \) i.e. submitting the input \( i_n \) to the implementation under test will produce the output \( o_n \) that is not specified in \( FCT(C) \). Then, it is clear that \( i \in In \) is an input of \( FCT(\text{Spec}) \).

Proof of (2):
It is obvious because \( [i_0]o_0, i_1 | o_1, \ldots, i_n | o_n | \text{FAIL} \in st(TP, SUT) \).

Proof of (4):
We know that \( \langle i_0 | o_0 \ldots i_{n-1} | o_{n-1} \rangle \in \text{Trace}(FCT) \) and \( S_n \neq \emptyset \). We have that \( [i_0]o_0 \ldots i_n | o_n | \text{FAIL} \in st(TP, SUT) \) i.e. applying \( i_n | o_n \) have to lead to a FAIL verdict. This means that \( \alpha_{TS}(S_n)(i_n | o_n) = \text{FAIL} \). Hence by Definition 7.2, \( \text{Next}(S_n, i_n | o_n) \) has to be empty. But we know that \( \text{Next}(S_n, i_n | o_n) \subseteq S_{FCT} \). Hence, \( \langle i_0 | o_0, \ldots, i_{n-1} | o_{n-1}, i_n | o_n \rangle \) does not belong to \( \text{Trace}(FCT) \).

Proof of the completeness:
Let \( \text{Spec} = (S, S_0, \alpha) \) be a specification over a signature \( H = T(\text{Out} \times \emptyset) \) and \( FCT = (S_{FCT}, \delta_{FCT}, \alpha_{FCT}) \) be its finite computation tree. Let us prove that the completeness holds. For this, let us assume that SUT does not conform to Spec and let us prove that there exists a test purpose TP such that there exists \( \langle ev_0, \ldots, ev_n | \text{FAIL} \rangle \in st(TP, SUT) \).

Since SUT does not conform to Spec, according to the definition of cioco, there exists a trace \( tr = \langle ev_0 \ldots ev_{n-1} \rangle \in \text{Trace}(FCT) \) and an input \( i \in In \) such that

\[
\text{Out}_{SUT}(\text{SUT after } (tr, i)) \not\subseteq \text{Out}_{FCT}(\text{FCT after } (tr, i))
\]

i.e. there exists an output \( o_n' \) of SUT such that

- \( o_n' \in \text{Out}_{SUT}(\text{SUT after } (tr, i)) \);
- \( o_n' \notin \text{Out}_{FCT}(\text{FCT after } (tr, i)) \).

That means:

\[
\langle ev_0, \ldots, ev_{n-1}, i_n | o_n' \rangle \in \text{Trace}(S_{FCT})
\]

and

\[
\langle ev_0, \ldots, ev_{n-1}, i_n | o_n' \rangle \notin \text{Trace}(FCT)
\]

Since \( i_n \in In \), then there also exists an output \( o_n \) such that \( o_n \in \text{Out}_{FCT}(\text{FCT after } (tr, i)) \) i.e.

\[
\langle ev_0, \ldots, ev_{n-1}, i_n | o_n \rangle \in \text{Trace}(FCT)
\]

Let us denote \( \langle i_n | o_n \rangle \) by \( ev_n \) and \( \langle i_n | o_n' \rangle \) by \( ev_n' \).

Now, let us denote by TP a test purpose of FCT such that there exists a state \( s \in S_{FCT} \) such that \( s \) belongs to the set of reachable states from the initial state of FCT after executing the trace \( \langle ev_0 \ldots ev_{n-1} | ev_n \rangle \) on FCT, and \( TP(s) = \text{accept} \) i.e. \( \langle ev_0 \ldots ev_{n-1} | ev_n \rangle \) forms a path of TP. Let us prove that there exists \( \langle ev_0 \ldots ev_{n-1} | ev_n' \rangle | \text{FAIL} \) \in st(TP, SUT). For this, it is enough to show that there exists \( \langle S \rangle_{0 \leq j \leq n} \) such that for every \( j, 0 \leq j \leq n \), \( S_j = \alpha_{TS}(S_j)(ev_j) \in \mathbb{S} \) and \( \text{FAIL} = \alpha_{TS}(S_n)(ev_n) \).

We have that \( \langle ev_0 \ldots ev_{n-1} \rangle \in \text{Trace}(FCT) \), then, for every \( j, 0 \leq j \leq n \), \( S_j \) exists because for every \( j, 1 \leq j \leq n \), \( \alpha_{TS}(S_j)(ev_j) = \text{Next}(S_j, ev_j) \) and \( S_0 = \{ \delta_{FCT} \} \). Thus, what remains is to prove that there is a verdict state \( \text{FAIL} \) such that \( \text{FAIL} = \alpha_{TS}(S_n)(ev_n) \).

By Equation 7, we have \( \langle ev_0 \ldots ev_{n-1} | ev_n' \rangle \notin \text{Trace}(FCT) \) and by Equation 6 \( \langle ev_0 \ldots ev_{n-1} | ev_n \rangle \in \text{Trace}(S_{FCT}) \), hence \( \text{Next}(S_n, ev_n) = \emptyset \), and consequently \( \alpha_{TS}(S_n)(ev_n) = \text{FAIL} \).

End
8. Compositional testing

Compositional testing provides a technique for checking the correctness of complex components built from simpler correct ones. This means that the correctness of components implies the correctness of systems obtained by assembling them. Several compositional testing approaches have been proposed [17, 39, 40, 41, 42]. These approaches vary according to both formalism and integration operators. In [17], it has been proved that the conformance testing ioco based on labeled transition systems is only compositional with respect to parallel composition when specifications and implementations are assumed\(^{15}\) input-enabled. In [39], the authors extend the testing theory defined in the setting of CSP process algebra whose conformance relation cspio is an adapted version of ioco to CSP formalism [34], to be able to address testing compositional proposed in [17]. It has then been shown that cspio is compositional not only for parallel composition \(||\) but also for other CSP’s composition operators by assuming input completeness of the specification in the same alphabet of the implementation. In [40, 41, 42, 43], the authors address differently the compositional testing problem from [17, 39]. In [40], the authors indeed work with input-output symbolic transition systems (IOSTS) and propose to test each component of a system in isolation by generating accurate test purposes for them from the global specification of the system and assuming that the specification of every component in the system is available. This allowed them to test the global system by selecting behaviours of basic components that are typically activated in the system, and then re-enforce unitary testing with respect to those behaviours. In [41, 42], the authors study how to design a component when combined with a known part of the system, called the context, has to satisfy a given overall specification in the context of finite state machine. Finally, in [43], the authors extend the so-called assume-guarantee reasoning [44] used in model checking areas as a means to cope with the state explosion problem of compositional testing. They then proposed to test each component of a system separately, while taking into account assumptions about the context of the component. They use the input-output labeled transition systems as behavioural models of components and the parallel composition \(||\) to compose components. The conformance relation used in this approach is the ioco relation. The underlying idea behind this approach is to check that, given a assumption \(A\) about the environment in which the components are supposed to operate, such that \(\text{iut}_2 \text{ioco} A\) and \(\text{iut}_1 \text{ioco} \text{spec}\) then \(\text{iut}_1 \text{cs pio} \text{iut}_2\) ioco spec. The authors showed that this property holds if the assumption \(A\) is input-enabled. This approach then requires the specification \text{spec} to be given as a single model rather than a set of components unlike our approach. They do not impose input-completeness of specifications which gives them an advantage with respect to other approaches.

In this contribution, our goal is to extend [17] to our framework, but the other approaches would have been able to be extended in our framework. Besides, this has been done for the approach developed in [40] (cf. [45]).

The underlying idea is then to test an integrated system assuming that its underlying components have already been tested and are correct. The operators used to compose components are supposed to be correctly implemented and to preserve their specifications. Thus, the problem of compositional testing that we address here can be seen as follows: if single components of a system conform to their specifications, what can be said concerning conformance of the whole system according to its specifications? This is formally expressed as: \(\forall i, 1 \leq i \leq n, I, \text{ref} S_i \implies \text{op}(I_1, \ldots, I_n) \text{rel} \text{op}(S_1, \ldots, S_n)\) where \(\text{rel}\) denotes the conformance relation of interest, \(I_1, \ldots, I_n\) are implementation models, \(S_1, \ldots, S_n\) are specifications, and \(\text{op}\) is an integration operator. Thus, such a compositional testing theory provides a way to test the integrated system only by testing its sub-systems i.e. there is no need to re-test its conformance correction.

We show here that ioco is naturally compositional for the cartesian product. However, compositionality does not hold for cs pio with respect to the feedback operators, unless the specification model is input-enabled.

\textbf{Theorem 8.1.} Let \(H_1 = T(\text{Out}_1 \times )^n \) and \(H_2 = T(\text{Out}_2 \times )^m\) be two signatures. Let \(H = T((\text{Out}_1 \times \text{Out}_2) \times )^{n \times m}\) be the cartesian product interface for \(H_1\) and \(H_2\). Let \(I_j, S_j \in \text{Comp}(H_j)\) for \(j = 1, 2\) and \(\odot((I_1, I_2), \odot((S_1, S_2)) \in \text{Comp}(H).\) Then, we have:

\[
\begin{align*}
I_1 \text{ cioco } S_1 \\
I_2 \text{ cioco } S_2
\end{align*}
\]

\implies \odot((I_1, I_2)) \text{ cioco } \odot((S_1, S_2))

\(^{15}\text{input-enabled} \text{ means all input actions are always enabled in any state.}\)
Proof. Let us assume that: 

\[(I_1 \ cioco \ S_1) \text{ and } (I_2 \ cioco \ S_2)\]

and let us then prove that:

\[\otimes((I_1, I_2)) \text{ cioco } \otimes ((S_1, S_2))\]

Let us use the contradiction principle. For this, let us assume that \[\neg(\otimes((I_1, I_2)) \text{ cioco } \otimes ((S_1, S_2)))\] i.e. that there exists a finite trace \(tr = (i_1, o_1', \ldots, i_n, o_n') \in Trace(\otimes((S_1, S_2)))\) and \((i, i') \in I_1 \times I_2\) such that there exists an output \((o, o') \in Out_1 \times Out_2\) among the outputs obtained after executing \((tr, (i, i'))\) on \(\otimes((I_1, I_2))\) not belonging to the ones obtained after executing \((tr, (i, i'))\) on \(\otimes((S_1, S_2))\).

Now, we have \(tr = (i_1, o_1'), \ldots, (i_n, o_n') \in Trace(\otimes((I_1, I_2)))\). According to the definition of the cartesian product, it is easy to show that the two traces:

\[tr_1 = (i_1 | o_1, \ldots, i_n | o_n) \in Trace(I_1)\] and \(tr_2 = (i_1' | o_1', \ldots, i_n' | o_n') \in Trace(I_2)\)

are respectively the traces involved in \(I_1\) and \(I_2\) to obtain \(tr\). We also know by hypothesis that \(tr_1 \in Trace(S_1)\) and \(tr_2 \in Trace(S_2)\). Since \((o, o') \in Out(\otimes((I_1, I_2)))\) and \(tr\) is composed of \(tr_1\) and \(tr_2\), then \(o \in Out(I_1)\) after \((tr_1, i)\) and \(o' \in Out(I_2)\) after \((tr_2, i')\). Similarly, \(o' \notin Out(S_2)\) after \((tr', i')\) because \((o, o') \notin Out(\otimes((S_1, S_2)))\) after \((tr, (i, i'))\) and \(tr_1\) and \(tr_2\) are involved to obtain \(tr\). Hence, there exists a trace \(tr_1 \in Trace(S_1)\), an input \(i\) of \(S_1\) and an output \(o\) of \(Out_1\) such that \(o \in Out(I_1)\) after \((tr_1, i)\) and \(o \notin Out(S_1)\) after \((tr_1, i)\) (respectively there exists a trace \(tr_2 \in Trace(S_2)\), an input \(i'\) of \(S_2\) and an output \(o'\) of \(Out_2\) such that \(o' \in Out(I_2)\) after \((tr_2, i')\) and \(o' \notin Out(S_2)\) after \((tr_2, i')\)). Indeed, this means that \(\neg(I_1 \ cioco \ S_1)\) and \(\neg(I_2 \ cioco \ S_2)\). Hence, we have a contradiction with our hypothesis.

End

Compositionality for feedback operators. Before proving the compositionality of \(\text{cioco}\) for both synchronous and relaxed feedback operators, we give an example that illustrates the assumptions required to obtain the compositionality of \(\text{cioco}\) with respect to the feedback operators. Figure 12 shows two implementation models \(I_1\) and \(I_2\) that have been tested to be \(\text{cioco}\)-correct according to their respective specification models \(S_1\) and \(S_2\). We can easily see that \((I_1 \ \text{cioco} \ S_1)\) and \((I_2 \ \text{cioco} \ S_2)\).

![Figure 12: Counterexample of compositionality](image)

Using the cartesian product and the feedback operator over the synchronous sequential interface \(I = (f, \pi_o, \pi_o)\) defined in Section 4.2.1, the global specification \(S = \otimes f(\otimes((S_1, S_2)))\) (resp. the global implementation \(I = \otimes f(\otimes((I_1, I_2)))\)) can be obtained. We can easily see that \(I\) can do the trace \((i_1 | o_3, i_2 | o_3)\). Thus, \(o_5 \in Out(I)\) after \((((i_1 | o_3), i_2))\) whereas \(S\) can do the trace \((i_1 | o_3)\) in such a way \(o_5 \notin Out(S)\) after \((((i_1 | o_3), i_2))\). Hence, we can see that \(I\) does not conform to \(S\) according to \(\text{cioco}\).
This counterexample shows that the feedback operators may give rise to a global implementation that does not
conform to its global specification, even if the local implementations conform to their local specifications. This
is because the conformance relation cioco does not put any constraint on the traces that are not specified in the
specification. It gives freedom to implementations to do what they want from the unspecified states. To cope with
this problem, we assume that specifications are input-enabled like in [17]. That is to say, all states of a specification S
accept all input actions of $S$, and for each state $s$ of $S$ and each input the function $\alpha$ is defined ($\alpha$ is a total function).
Then, we have the following theorem for the compositionality for the relaxed feedback operator:

**Theorem 8.2.** Let $H = T(\text{Out} \times \pi_0)$ be a signature. Let $I = (f, \pi_i, \pi_o)$ be a relaxed feedback interface. Let $C_j = (S_j, \alpha_j) \in \text{Comp}(H)$ such that $C_j$ are input-enabled for every $j = 1, 2$. Then, we have:

$$C_1 \text{ cioco } C_2 \implies \langle f(C_1) \rangle \text{ cioco } \langle f(C_2) \rangle \quad (1)$$

$$C_1 \text{ cioco } C_2 \implies \langle f(C_1) \rangle \text{ cioco } \langle f(C_2) \rangle \quad (2)$$

**Proof.** We first need to prove the following lemma:

**Lemma 5.** Consider two components $C_1$ and $C_2$, then we have:

1. $\text{Trace}(C_1) \subseteq \text{Trace}(C_2)$ implies ($C_1$ cioco $C_2$)
2. If $C_2$ is input-enabled, then ($C_1$ cioco $C_2$) implies $\text{Trace}(C_1) \subseteq \text{Trace}(C_2)$.

**Proof.**

1. Let $tr = \langle i_1|o_1, i_2|o_2, \ldots, i_n|o_n \rangle$ be a finite trace of $C_2$, $i$ an input of $C_2$ and $o \in \text{Out}(C_1$ after $(tr, i)$) and let us prove that $o \in \text{Out}(C_1$ after $(tr, i)$). $o \in \text{Out}(C_1$ after $(tr, i)$) implies $tr' = tr.(i,o) = \langle i_1|i_2|o_2, \ldots, i_n|o_n, i|o \rangle \in \text{Trace}(C_1)$ (see Definition 6.3). Since $\text{Trace}(C_1) \subseteq \text{Trace}(C_2)$, $tr' \in \text{Trace}(C_2)$. Thus, $o \in \text{Out}(C_2$ after $(tr, i)$), and consequently, $O_{\text{Out}}(C_1$ after $(tr, i)) \subseteq \text{Out}(C_2$ after $(tr, i))$. The result then follows from the definition of cioco.

2. Let us prove this point by induction on the structure of a trace $tr$ of $C_1$, let $tr = \langle i_1|i_0, i_2|o_2, \ldots, i_n|o_n \rangle \in \text{Trace}(C_1)$.

• **Basic Step:** $tr = \langle \rangle$ is empty trace. $tr = \langle \rangle \in \text{Trace}(C_2)$ trivially holds.

• **Induction Step:** Let us write $tr$ as concatenation of two finite traces: $tr = \langle i_1|i_0, i_2|o_2, \ldots, i_n|o_n \rangle \cdot \langle i_n|o_n \rangle$. $tr \in \text{Trace}(C_1)$ implies $o_n \in \text{Out}(C_1$ after $((i_1|i_0, i_2|o_2, \ldots, i_{n-1}|o_{n-1}), i_n))$. Since $C_2$ is input-enabled, $i_n$ is inevitably an input of $C_2$ at any state $s$. By induction hypothesis, we have $\langle i_1|i_0, i_2|o_2, \ldots, i_{n-1}|o_{n-1} \rangle \in \text{Trace}(C_2)$ and $o_n \in \text{Out}(C_2$ after $((i_1|i_0, i_2|o_2, \ldots, i_{n-1}|o_{n-1}), i_n))$ because $C_1$ cioco $C_2$. Thus $\langle i_1|i_0, i_2|o_2, \ldots, i_n|o_n \rangle \in \text{Trace}(C_2)$. Consequently, $\text{Trace}(C_1) \subseteq \text{Trace}(C_2)$.

End

Let us now prove the first point of Theorem 8.2. According to Lemma 5, we have to prove:

$$\text{Trace}(C_1) \subseteq \text{Trace}(C_2) \implies \text{Trace}(\langle f(C_1) \rangle) \subseteq \text{Trace}(\langle f(C_2) \rangle)$$

For this, let us use the proof by induction on the length of a finite trace $tr$ of $\text{Trace}(\langle f(C_1) \rangle)$. Let $tr = \langle i_0|o_0, \ldots, i_n|o_n \rangle$ be a finite trace of $\langle f(C_1) \rangle$.

• **Basic Step:** $tr = \langle \rangle$ is empty trace. $tr = \langle \rangle \in \text{Trace}(\langle f(C_2) \rangle)$ trivially holds.
• **Induction Step:** Let us write $tr$ as the concatenation of two finite traces: $tr = (i_0|o_0,1|o_1,...,i_{n-1}|o_{n-1})·(i_n|o_n)$. $tr \in Trace(\leftrightarrow_I(C_1))$ implies, according to the definition of relaxed feedback (see Definition 4.3), that there exists an input sequence $x$ and a couple $(\bar{x}, y_\bar{x})$ inductively defined from a finite sequence of states $(s_0, s_1,..., s_n)$ of $S_1$ as follows:

- $\bar{x}(0) = x(0)$ and $y_{\bar{x}}(0) \in \eta'_{Ou\times S_1}(\alpha_1(s_0)(x(0)))$
- $\forall j, 0 < j \leq n, \bar{x}(j) = f(x(j), y_{\bar{x}}(j-1)), y_{\bar{x}}(j) \in \eta'_{Ou\times S_1}(\alpha_1(s_j)(\bar{x}(j-1)))$ and $s_j \in \eta'_{Ou\times S_1}(\alpha_1(s_{j-1})(\bar{x}(j-1)))$

and, for every $0 \leq j \leq n, \pi_i(\bar{x}(j)) = i_j$ and $\pi_o(y_{\bar{x}}(j)) = o_j$.

By induction hypothesis, $(i_0|o_0,1|o_1,...,i_{n-1}|o_{n-1}) \in Trace(\leftrightarrow_I(C_2))$ because $(i_0|o_0,1|o_1,...,i_{n-1}|o_{n-1}) \in Trace(\leftrightarrow_I(C_1))$. Then, similarly as above, there exists an input sequence $x'$ and a couple $(\bar{x}', y_{\bar{x}'})$ inductively defined from a finite sequence of states $(s'_0, s'_1,..., s'_n)$ of $S_2$ as follows:

- $\bar{x}'(0) = x'(0)$ and $y_{\bar{x}'}(0) \in \eta'_{Ou\times S_2}(\alpha_2(s'_0)(x'(0)))$
- $\forall j, 0 < j \leq n - 1, \bar{x}'(j) = f(x'(j), y_{\bar{x}'}(j-1)), y_{\bar{x}'}(j) \in \eta'_{Ou\times S_2}(\alpha_2(s'_j)(\bar{x}'(j)))$

and, for every $0 \leq j \leq n - 1, \pi_i(\bar{x}'(j)) = i_j$ and $\pi_o(y_{\bar{x}'}(j)) = o_j$.

Since $Trace(C_1) \subseteq Trace(C_2)$, $(i_0, i_1,..., i_n)$ is inevitably an input sequence of $C_2$, $\eta'_{Ou\times S_2}(\alpha_2(s'_n)(f(i_n, y_{\bar{x}'}(n-1))))$ is well defined.

Now, we know that:

$\eta'_{Ou\times S_1}(\alpha_1(s_n)(f(x(n), y_{\bar{x}}(n-1)))) \subseteq \eta'_{Ou\times S_2}(\alpha_2(s'_n)(f(i_n, y_{\bar{x}'}(n-1))))$

This is because $Trace(C_1) \subseteq Trace(C_2)$. This implies that $y_{\bar{x}}(n) \in \eta'_{Ou\times S_1}(\alpha_2(s'_n)(f(i_n, y_{\bar{x}'}(n-1))))$. Hence according to the definition of relaxed feedback, $(i_0|o_0,1|o_1,...,i_{n-1}|o_{n-1},i_n,o_n) \in Trace(\leftrightarrow_I(C_2))$. Consequently, $Trace(\leftrightarrow_I(C_1)) \subseteq Trace(\leftrightarrow_I(C_2))$.

Similarly, we can prove the second point of Theorem 8.2: $C_1 \bowtie_I C_2 \Rightarrow \bowtie_I(C_1) \bowtie_I(C_2)$.

**End**

Theorems 8.1 and 8.2 obviously lead to the following theorem:

**Theorem 8.3.** Let $o p$ be a complex operator of arity $n$. Let $C_1,..., C_m, C'_1,..., C'_m$ be input-enabled components such that $\forall i, 1 \leq i \leq n, C_i$ cioco $C'_i$, then one has $o p(C_1,..., C_m)$ cioco $o p(C'_1,..., C'_m)$.

**Proof.** By induction on the structure of $o p$ using Theorem 8.1 and Theorem 8.2.

**End**

By Theorem 8.3, we directly have that sequential and concurrent compositions as well as synchronous product are compositional for cioco.
9. Conclusion and related works

9.1. Related works

In this section, we present a brief overview of contributions which are technically close to our approach, by discussing the difference between problematics addressed by those contributions and those addressed by our approach. There are several coalgebraic works in the literature which regard the combination of components using some sort of integration mechanism. The closest of our works is the set of integration operators proposed by Barbosa in [3, 4]. Four component integration operators have been proposed to reason about component based designs: pipeline "series" operator, external choice operator, parallel composition and concurrent operator. These operators are defined as special functors in some bicategory of components. The pipeline operator is similar to our synchronous sequential operator \( \triangleright \). The external choice operator corresponds to a composition where both components \( C_1 \) and \( C_2 \) are executed independently, depending on the input submitted to the integrated component: when interacting with the composed system, the environment will be allowed to choose either \( C_1 \) or \( C_2 \) inputs, but not both. Input then triggers the corresponding component (i.e. \( C_1 \) or \( C_2 \)), producing the associated output. This operator is then similar to our synchronous product \( \otimes \) where the intersection of input sets \( In_1 \) and \( In_2 \) is the empty set. The parallel composition is embodied in the cartesian product, and finally the concurrent operator is similar to the operator defined in Section 4.2.3.

Meng in [46] redefined Barbosa’s operators to combine two components \( C_1 \) and \( C_2 \) over the signatures \((\text{Out}_1 \times \text{Out}_2) \times \text{T}(\text{Out}_1 \times \text{Out}_2)\) and \((\text{Out}_1' \times \text{T}'(\text{Out}_1' \times \text{Out}_2'))\) respectively. Hence, the difference between Meng’s works and Barbosa’s ones is the form of the functor over which components are defined, and the possibility to combine components with different computational models (i.e. \( T \) and \( T' \)), rather than using a single monad.

In this paper, we have also shown how to define larger systems by composition of subsystems from two basic integration operators: product and feedback. This led us to inductively define a set of complex operators such as the results of compositionality, by showing that these properties are valid for the product and feedback and are preserved by composition.

Hence, Theorem 5.3 is similar to Theorem 4.7 in [48] at least in these goals to establish a generic result of compositionality independent of a given integration operator.

9.2. Conclusion

In this paper, we have defined a formalism based on Barbosa’s component definition [3, 4]. We have then defined for this formalism a trace semantic from causal functions as this is usually done in control theory and dynamic systems design. The resulting formalism is then generic enough to subsume a large family of state-based formalisms. For this formalism, a number of theoretical results were obtained. First, in order to deal with large systems, we defined the notion of integration operator as the composition of two basic operators, the product and feedback. We then showed generality results of compositionality independently of a given integration operator. We also obtained results related to the construction of a final object in the category of components. Taking advantage of our formalism, we then worked both conformance and compositional testing theories, which by definition can be applied to any formalism instance of our framework.

Several research lines can be continued from the previous work. First, the proposed formalism is just an initial proposal of formalism to model complex systems. For its application in concrete cases, it has to be more experienced in the case of real size systems. We also have the ambition to give a mathematical framework for a discipline, called
systems engineering, that has been fully tried and tested in modeling of modern industrial systems, but is very little formalized. This will require as a preliminary to extend the formalism to take into account components heterogeneity (software, hardware, human) which are mainly characterized how inputs are handled to provide observable outputs (i.e. discretely or continuously). In the context of B. Golden’s thesis [49], we are defining a formalism abstract enough to unify, by using non-standard analysis techniques, different time treatment of components. Moreover, in systems engineering, two kinds of operators play a crucial role in defining systems:

1. Integration operators
2. Abstraction/simulation operators.

The first kind of operators has been widely discussed in this paper, but the second not at all. Both abstraction/simulation operators aim at structuring systems at many levels of description, from the most abstract one to the most concrete till realization. These operators are classically brought together in a only one which is similar to the operator of refinement classically used in software engineering [50, 51].

References